

Nuts and Bolts of Bubble Nasal C P A P

Jen-Tien Wung, M.D., FCCM

Neonatal Intensivist

Professor of Pediatrics

Columbia University Medical Center

New York City (CHONY)

CPAP

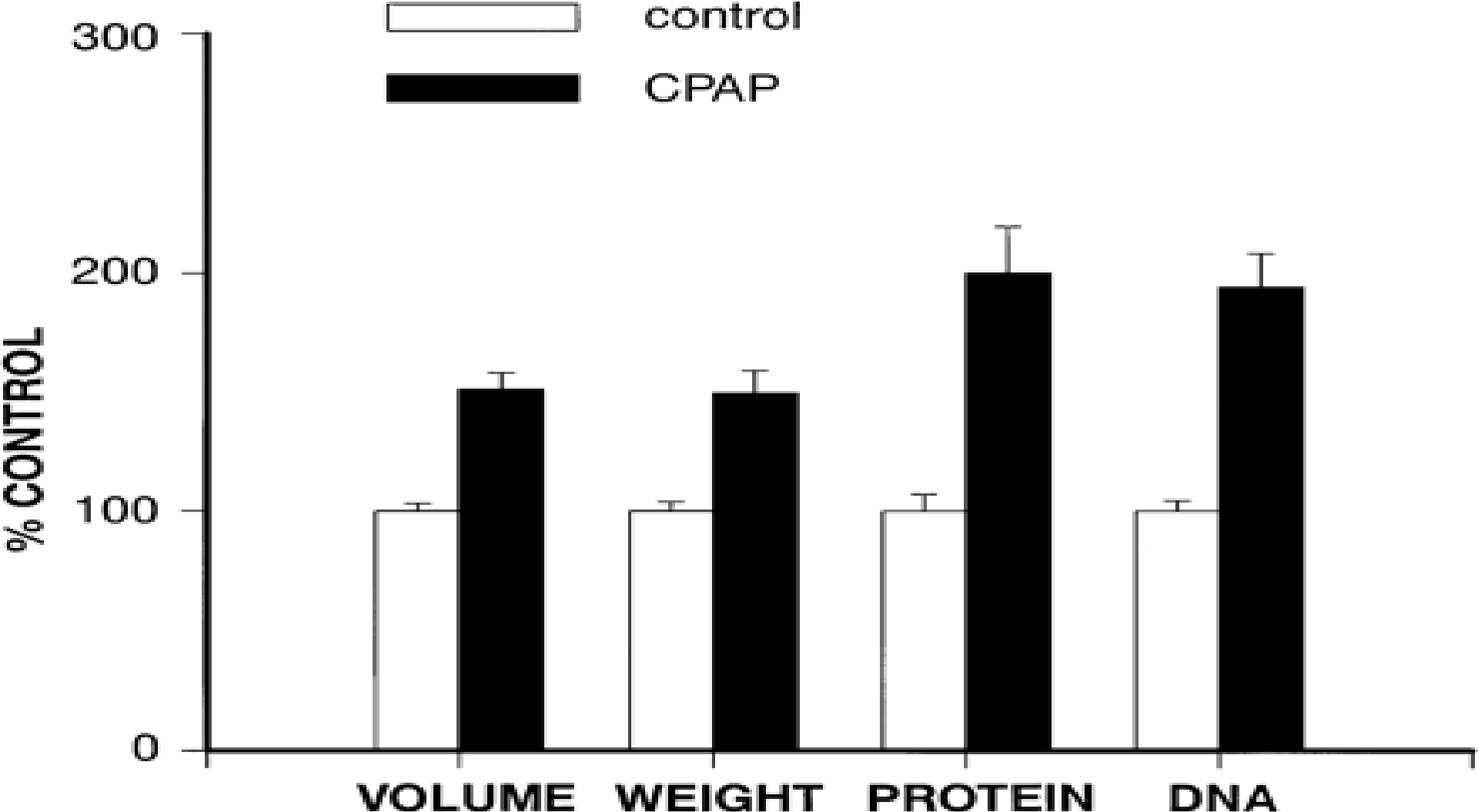
Continuous Positive Airway Pressure

- To a spontaneous breathing patient, a positive pressure is applied to the airways throughout the respiratory cycle
- Nasal CPAP has been used at Columbia University NICU since 1973 for >20,000 infants

CPAP

Effects

1. Increases transpulmonary pressure and functional residual capacity (FRC)
2. Prevents alveolar collapse, decreases intrapulmonary shunt and improves lung compliance
3. Conserves surfactant
4. Prevents pharyngeal wall collapse
5. Stabilizes the chest wall
6. Increases airway diameter and splints the airways
7. Splints the diaphragm
8. Stimulates lung growth
9. Bubble CPAP has HFV effect/stochastic resonance



Lung volume, lung weight, and protein and DNA contents at end of study were higher in CPAP-exposed than in control animals (all $P < 0.01$). Strain-induced growth of the immature lung. Zhang S. et al. J. Appl Physiol 1996;81:1471-6

**Premature
baby**

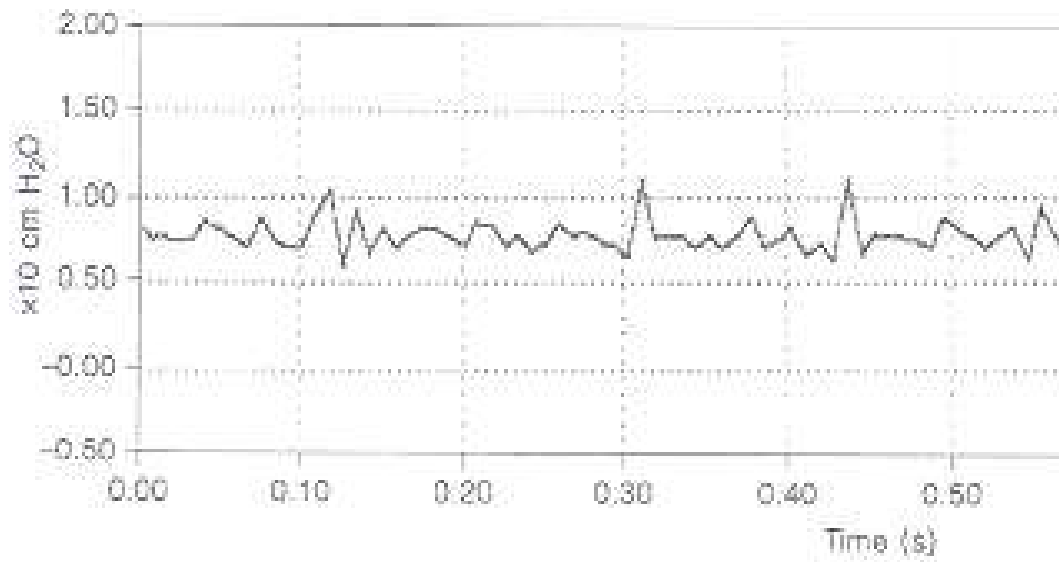
```
graph TD; A((Premature baby)) --> B((Structural lung immaturity)); A --> C((Surfactant deficiency (RDS)))
```

**Structural
lung
immaturity**

**Surfactant
deficiency
(RDS)**

CPAP

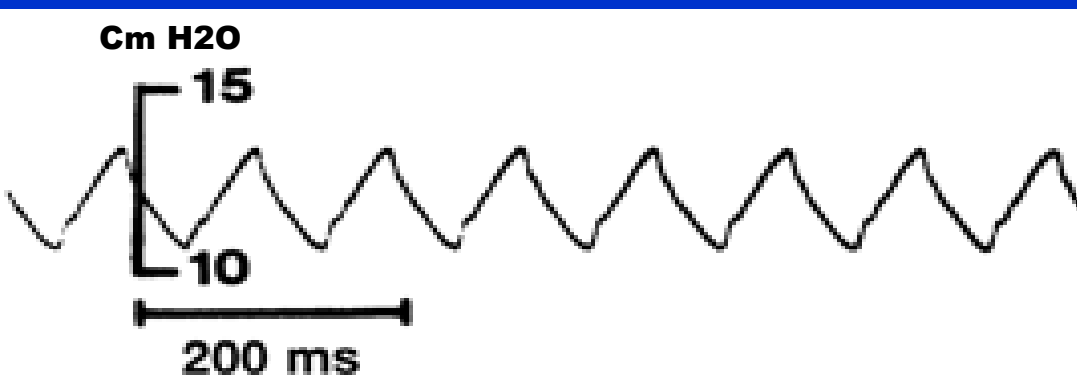
- CPAP is not just for RDS
- CPAP stimulates the growth of premature lung
- We keep the premature infants on CPAP, even on room air CPAP, as long as they are symptomatic (e.g., tachypnea, retraction or apnea & bradycardia.)



Waveform produced at airway with underwater **Bubble CPAP**

Amplitude 2-4 cm H₂O,
Frequency 15-30 Hz

Lee K-S et al: Biol Neonate 73: 69-75, 1998



Waveform produced at airway with **HFOV**
(Sensormedics)

Set I-time 0.3

Set Frequency 10 Hz

Thome U: J Appl Physiol: 84(5):1520-7, 1998

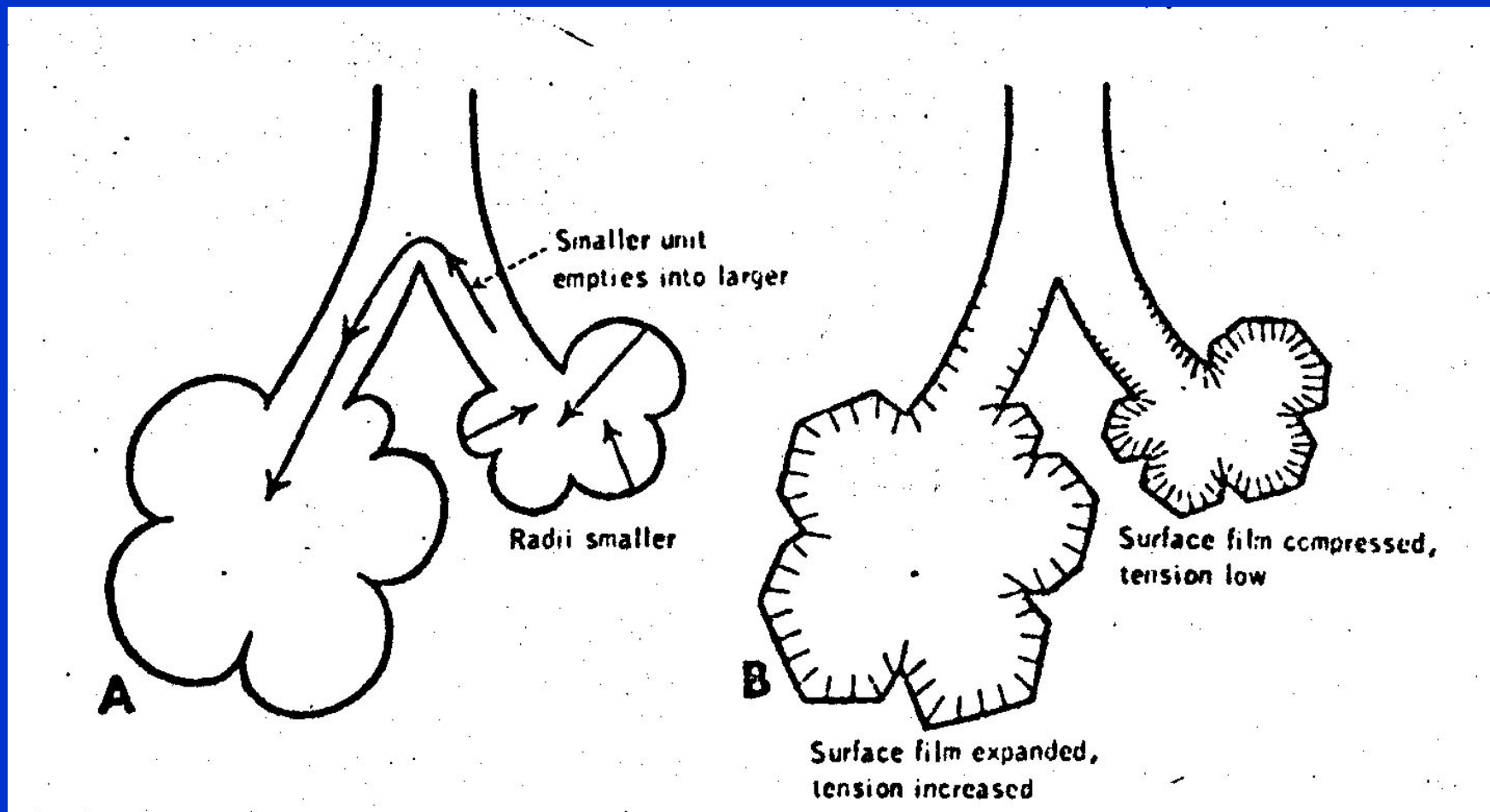
CPAP

Indication

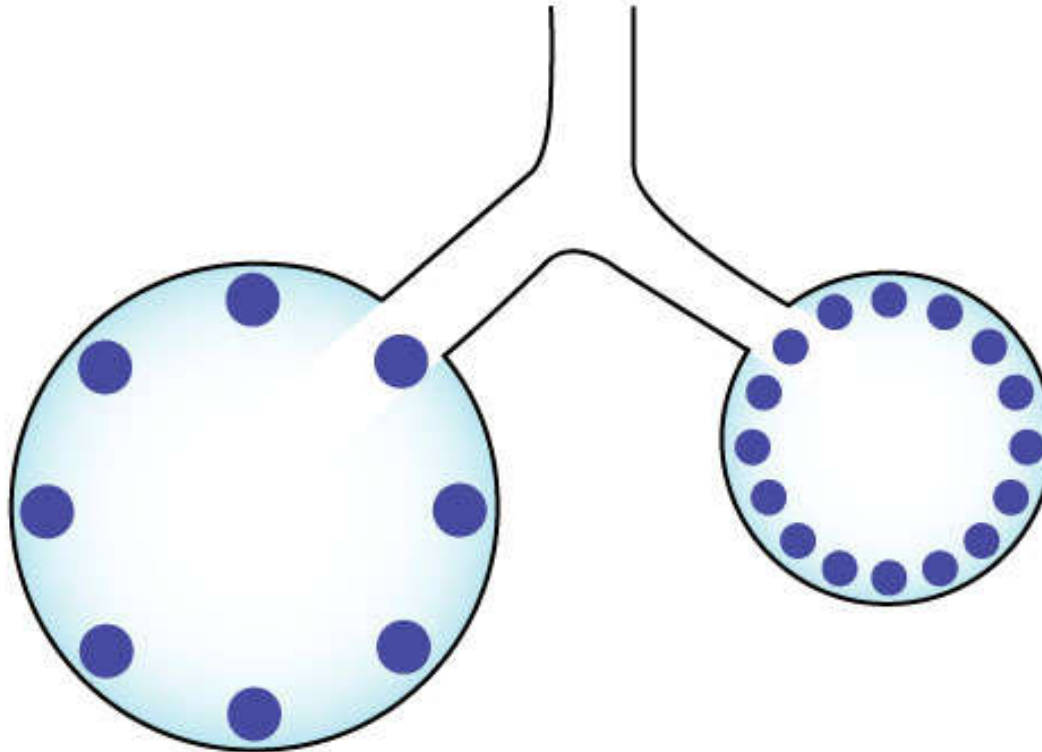
1. Diseases with low FRC, e.g. RDS, TTN, CPIP, PDA, pulmonary edema, etc.
2. Apnea and bradycardia of prematurity
3. Meconium aspiration syndrome (MAS)
4. Airway closure disease, e.g. bronchiolitis, BPD
5. Tracheomalacia
6. Partial paralysis of diaphragm
7. Respiratory support after extubation

Effect of alveolar radius and surface tension on alveolar stability w/ and w/o surfactant

$$P = 2 T/r$$



**Surfactant reduces surface tension (T).
Pressure is equalized in the large and
small alveoli**



$$\begin{aligned}r &= 2 \\T &= 2 \\P &= (2 \times 2)/2 \\P &= 2\end{aligned}$$

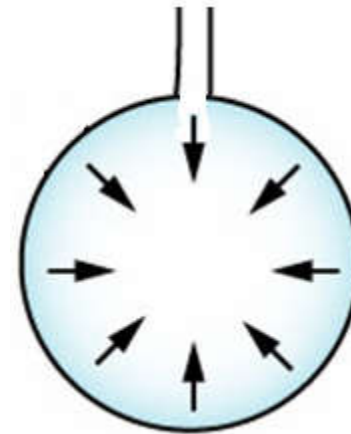
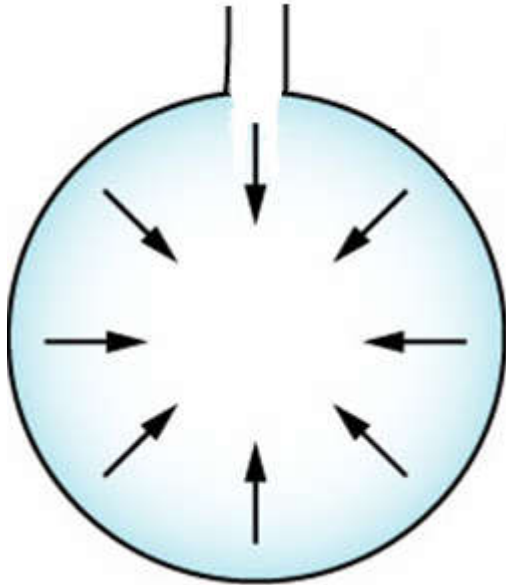
$$\begin{aligned}r &= 1 \\T &= 1 \\P &= (2 \times 1)/1 \\P &= 2\end{aligned}$$

Law of LaPlace : $P = 2T/r$

P : pressure

T : surface tension

r : radius



CPAP



Larger alveolus

$$r = 2$$

$$T = 3$$

$$P = (2 \times 3) / 2$$

$$P = 3$$

Smaller alveolus

$$r = 1$$

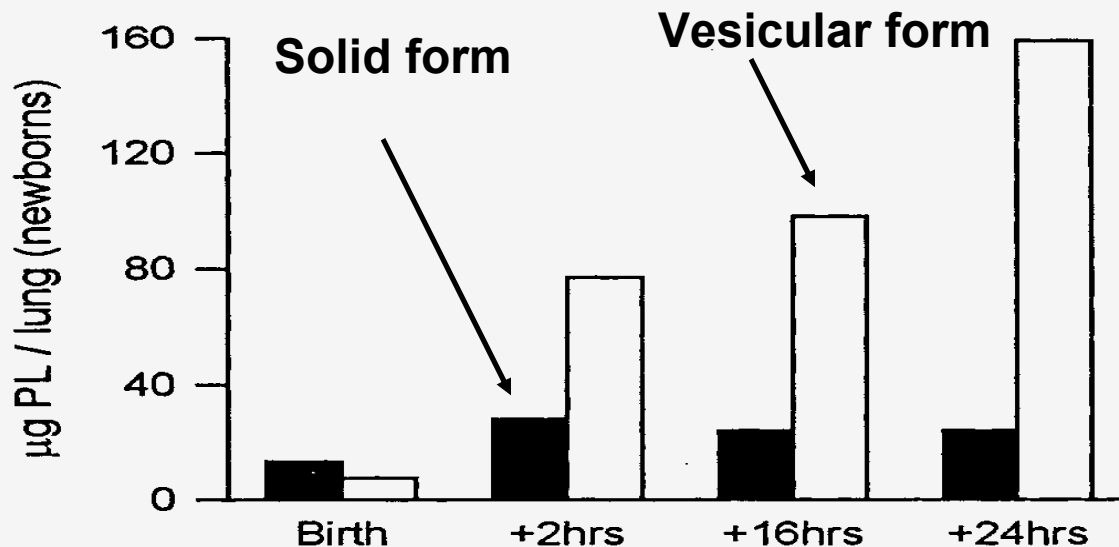
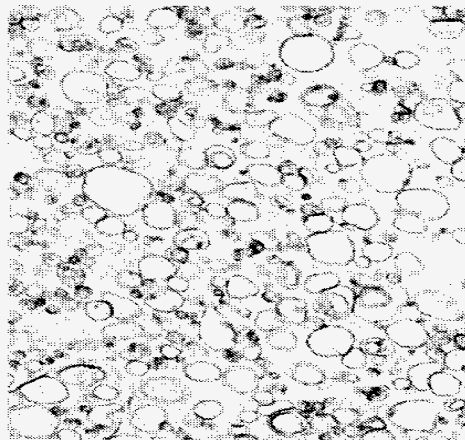
$$T = 3$$

$$P = (2 \times 3) / 1$$

$$P = 6$$

Natural response of surfactant producing cells to birth

Spain CL et.al. Ped. Research, 1987



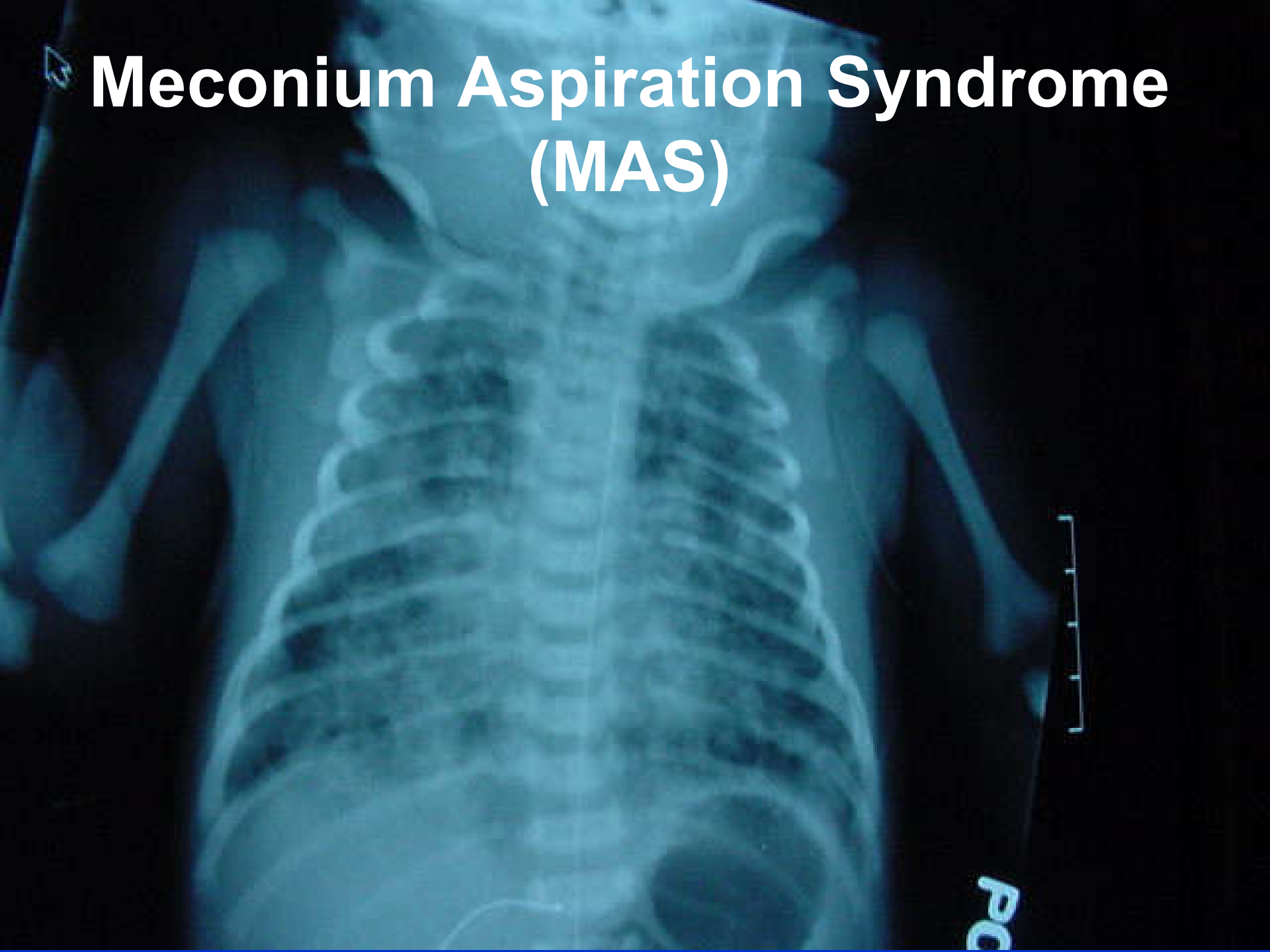
At onset of breathing, amount of surfactant pool increases significantly

CPAP

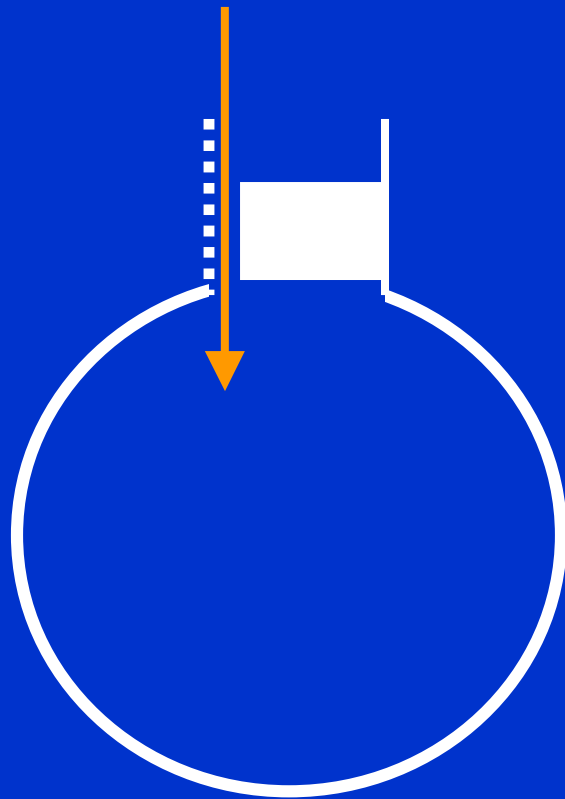
Indication

1. Diseases with low FRC, e.g. RDS, TTN, CPIP, PDA, pulmonary edema, etc.
2. Apnea and bradycardia of prematurity
3. Meconium aspiration syndrome (MAS)
4. Airway closure disease, e.g. bronchiolitis, BPD
5. Tracheomalacia
6. Partial paralysis of diaphragm
7. Respiratory support after extubation

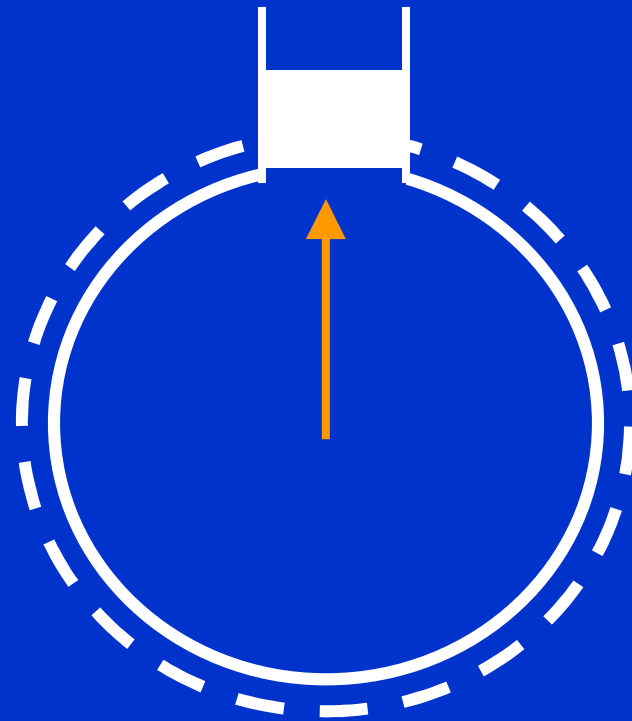
Meconium Aspiration Syndrome (MAS)



Meconium Aspiration Syndrome (MAS)

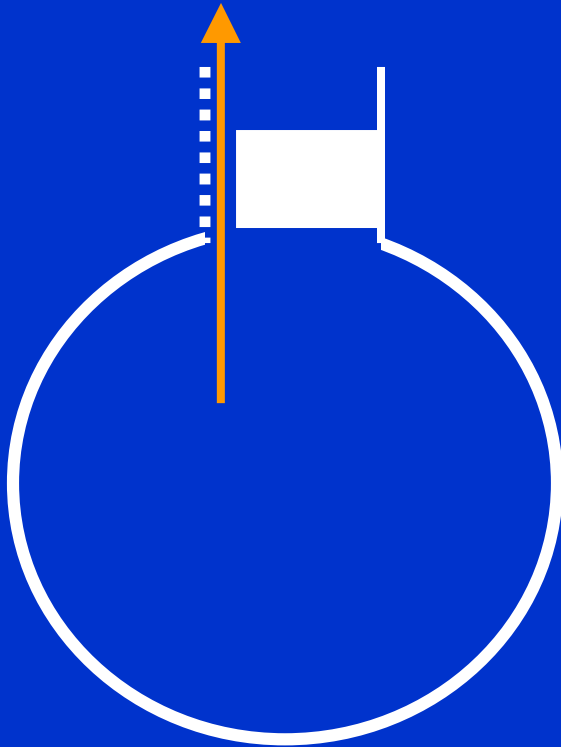


Inspiration

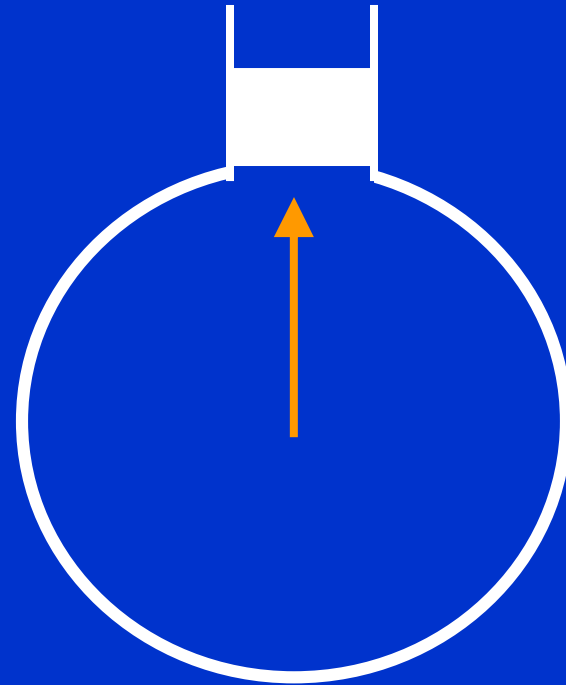


Expiration

Meconium Aspiration Syndrome (MAS)

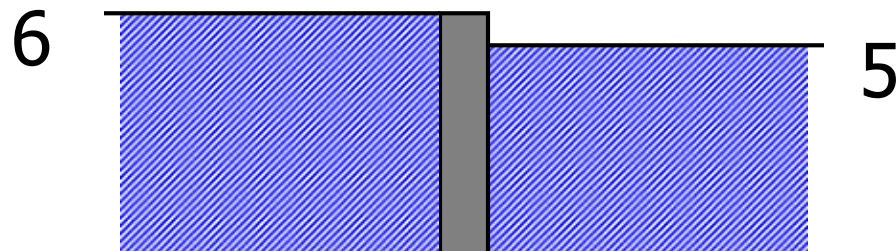
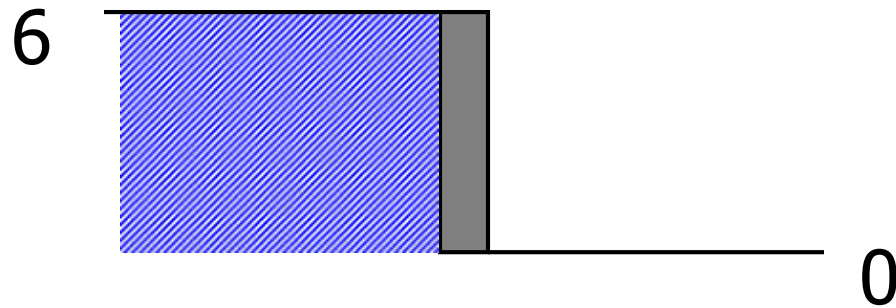
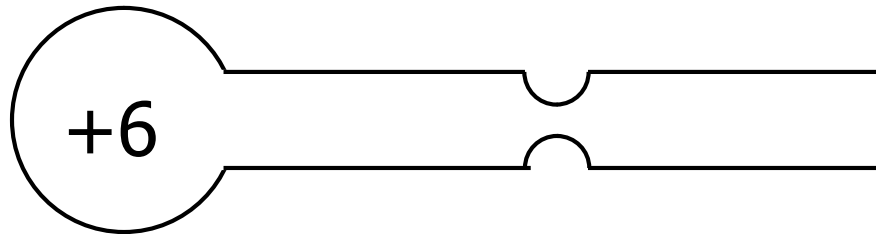


With CPAP



No CPAP

Waterfall Effect

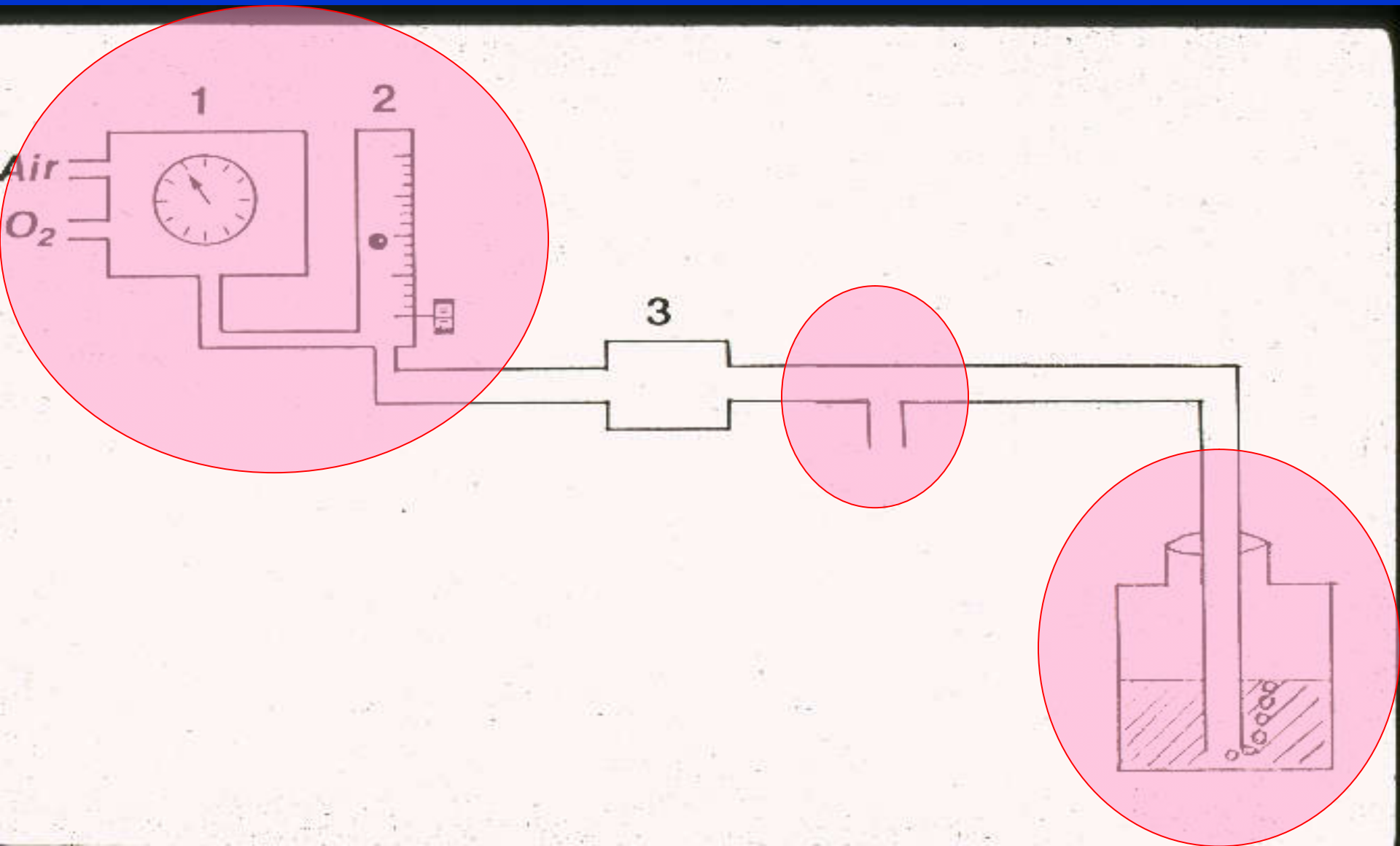


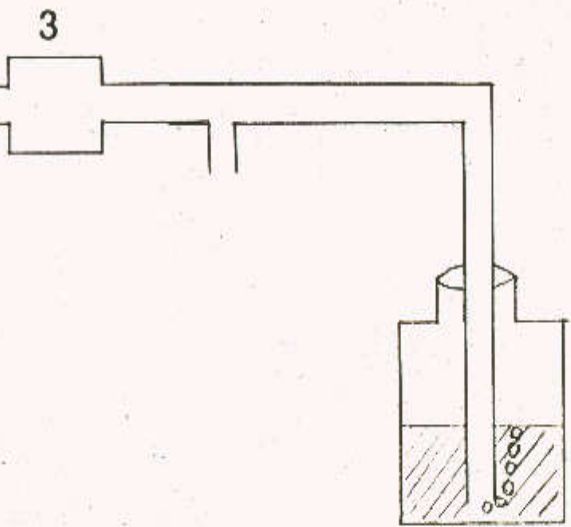
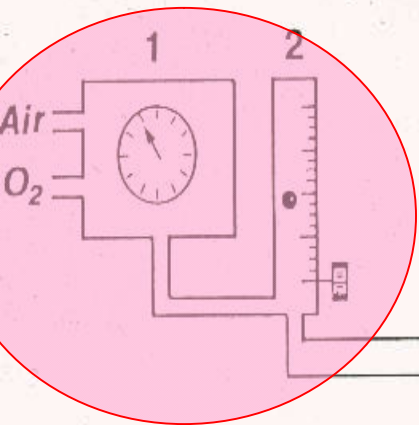
CPAP

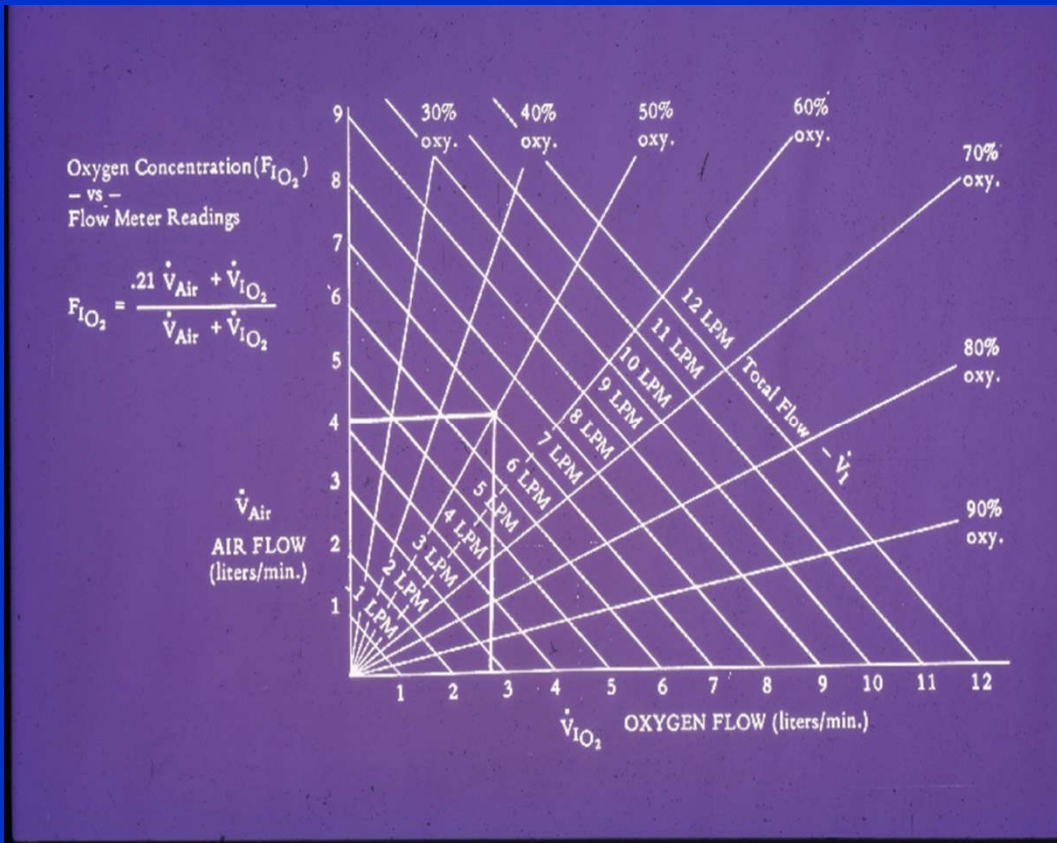
Indication

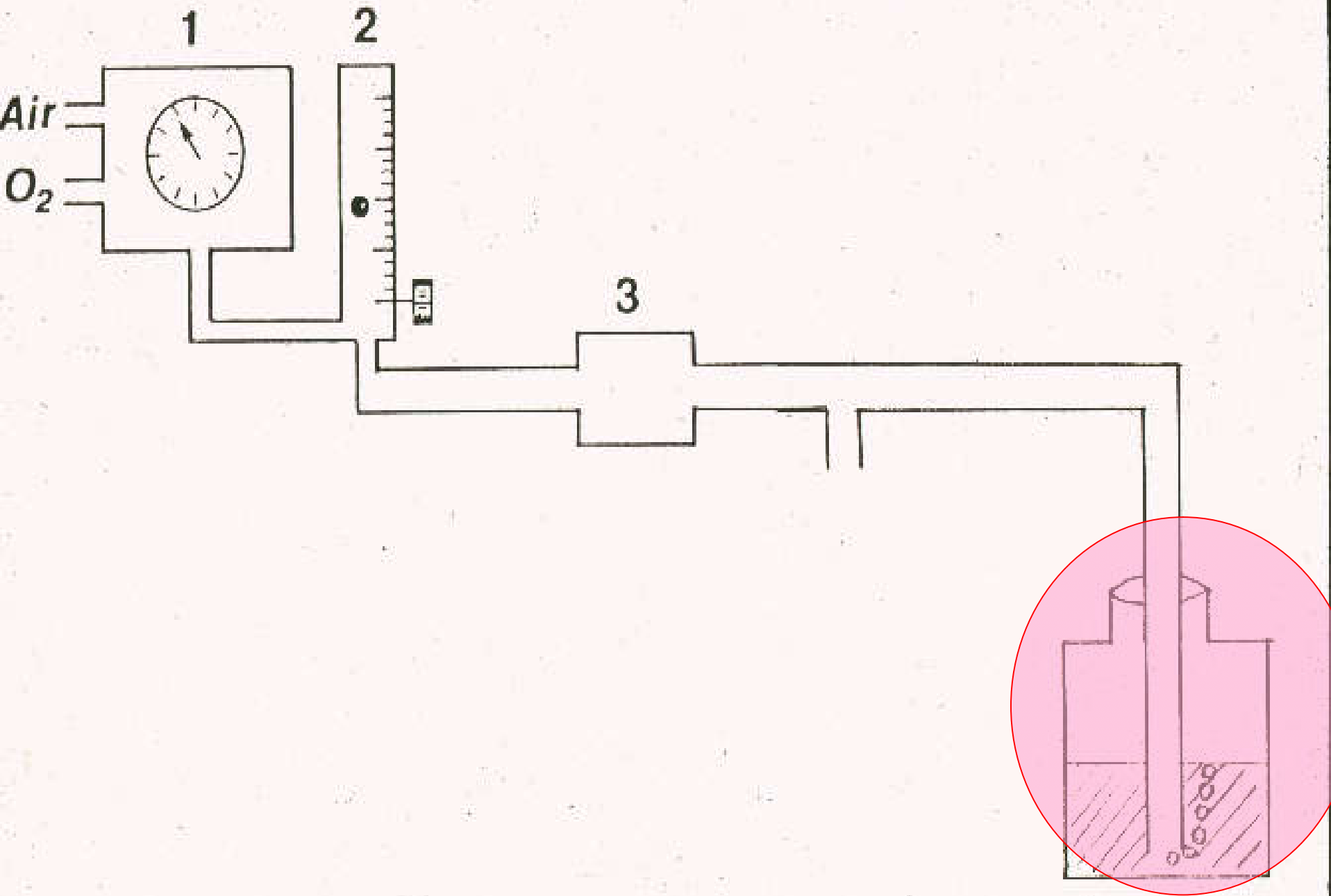
1. Diseases with low FRC, e.g. RDS, TTN, CPIP, PDA, pulmonary edema, etc.
2. Apnea and bradycardia of prematurity
3. Meconium aspiration syndrome (MAS)
4. Airway closure disease, e.g. bronchiolitis, BPD
5. Tracheomalacia
6. Partial paralysis of diaphragm
7. Respiratory support after extubation

CPAP Device









Expiratory Valves

- **Threshold resistors** – the level is determined by the force applied to the surface area of the valve. The pressure generated is independent of flow. (Water bubble CPAP)
- **Variable pressure-flow resistors** – the level of PEEP/CPAP is directly proportional to the product of the gas flow through the orifice of the expiratory pressure valve and the resistance of the valve. (Ventilator)

Bubble-CPAP vs Ventilator-CPAP

All infants with bubble CPAP had:

- a lower minute volume with a mean reduction in MV of 39% ($p < 0.001$)
- 7 % reduction in respiratory rate ($p = 0.004$)
- With no change in transcutaneous CO₂ and oxygen saturation values

Lee K-S et al: Biol Neonate 73: 69-75, 1998

Physiological Advantage of Bubble versus Ventilator-derived CPAP

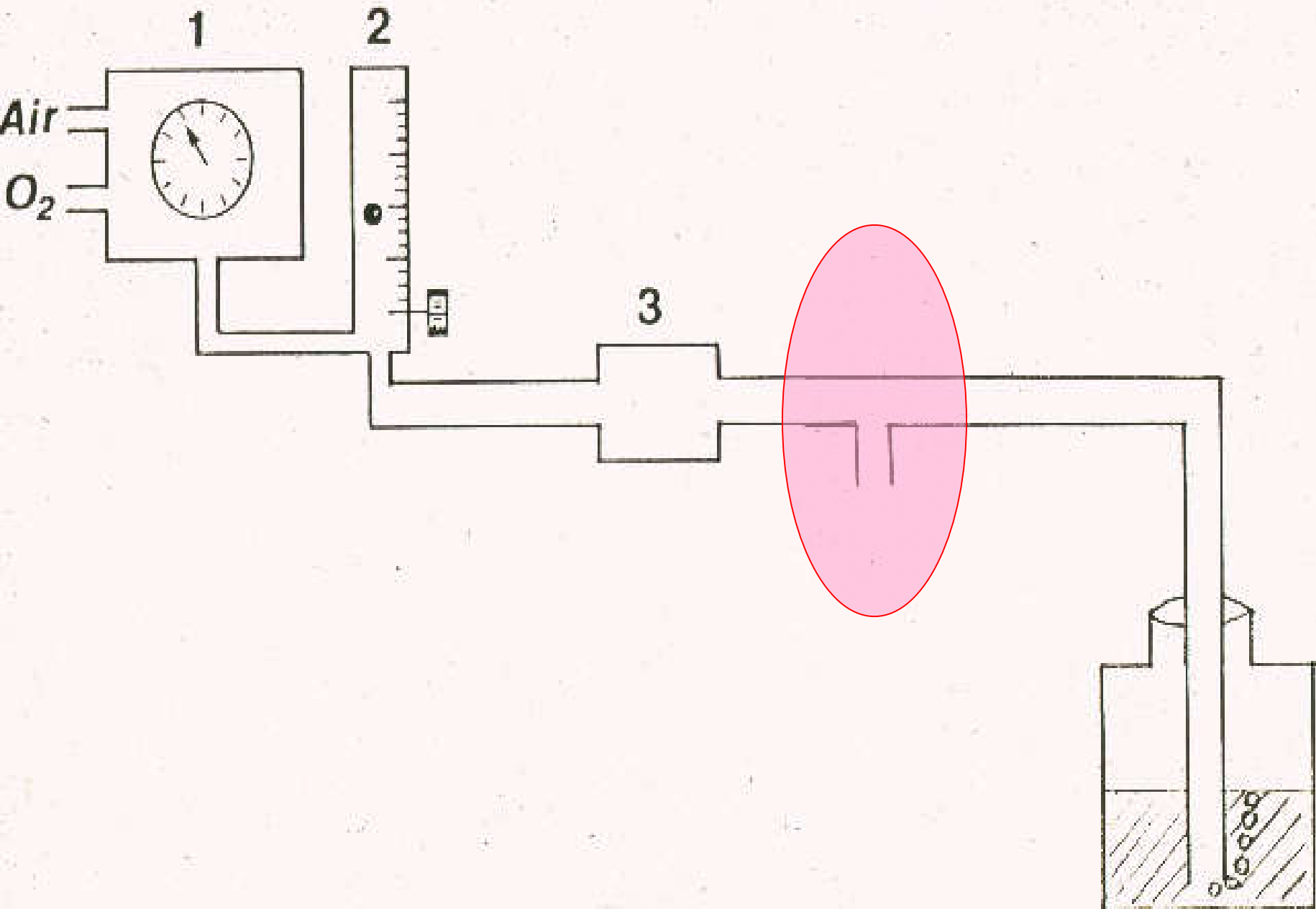
- Lower PaCO₂
- Higher PaO₂, PH, FRC
- Less V/Q mismatch
- Lower alveolar protein

Bubble Continuous Positive Airway Pressure Enhances Lung Volume and Gas Exchange in Preterm Lambs
Jane Pillow et al. Am J Respir Crit Care Med. 2007 ;
176(1): 63–69.

B-NCPAP vs V-NCPAP

- Randomized crossover study in 18 premature infants (<1500 g) with mild respiratory distress
- Work of breathing, breathing asynchrony, respiratory rate, heart rate, tidal volume, minute ventilation, lung compliance or TcPCO₂ was not significantly different
- TcPO₂ was higher with B-NCPAP (P=0.01)

Courtney, SE et al.:Journal of Perinatology (2011) 31, 44–50;



CPAP Devices (Interface)

- Head hood
- Face shield
- Face mask
- Nasal mask
- Nasal prongs – Hudson, Babi-plus nCPAP
INCA, Draeger, Fisher&Pakel, SiPAP, Arabella
Infant Flow, NeoPAP
- Nasal cannula – *Vapotherm, Ram Cannula*
- Nasal pharyngeal tube
- Endotracheal tube

Not all CPAP devices
are created equal

There is a learning curve
for CPAP therapy

CPAP

was first described for use in newborn infants by

Professor August Ritter von Reuss

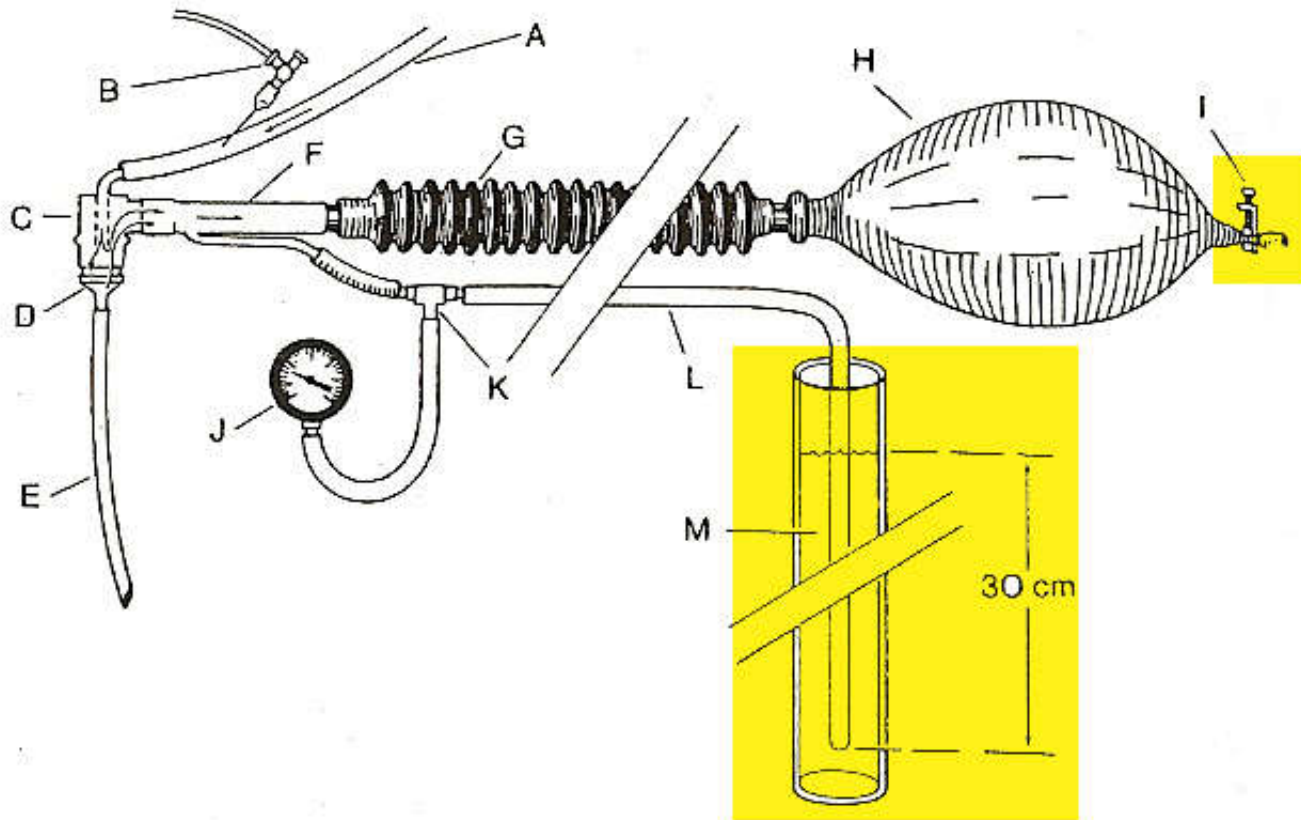
in his 1914 textbook on diseases of the newborn infant.

Treatment of idiopathic respiratory distress syndrome with continuous positive airway pressure

Weight	N	PaO ₂ (pre)	PaO ₂ (post)
930-1500	10	37.1	116.4
1501-2000	5	38.1	114.8
2001-3830	5	48.6	96.0

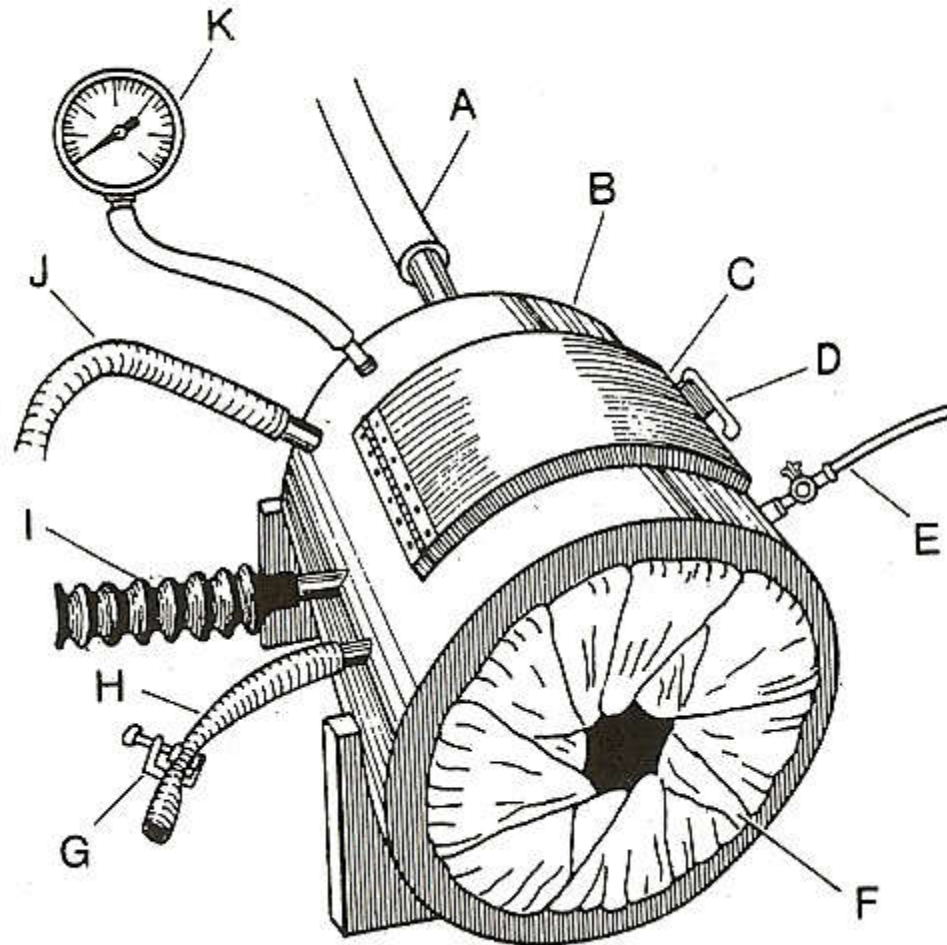
Gregory et al. N Engl J Med 284: 1333, 1971

*Treatment of idiopathic respiratory distress syndrome
with continuous positive airway pressure*

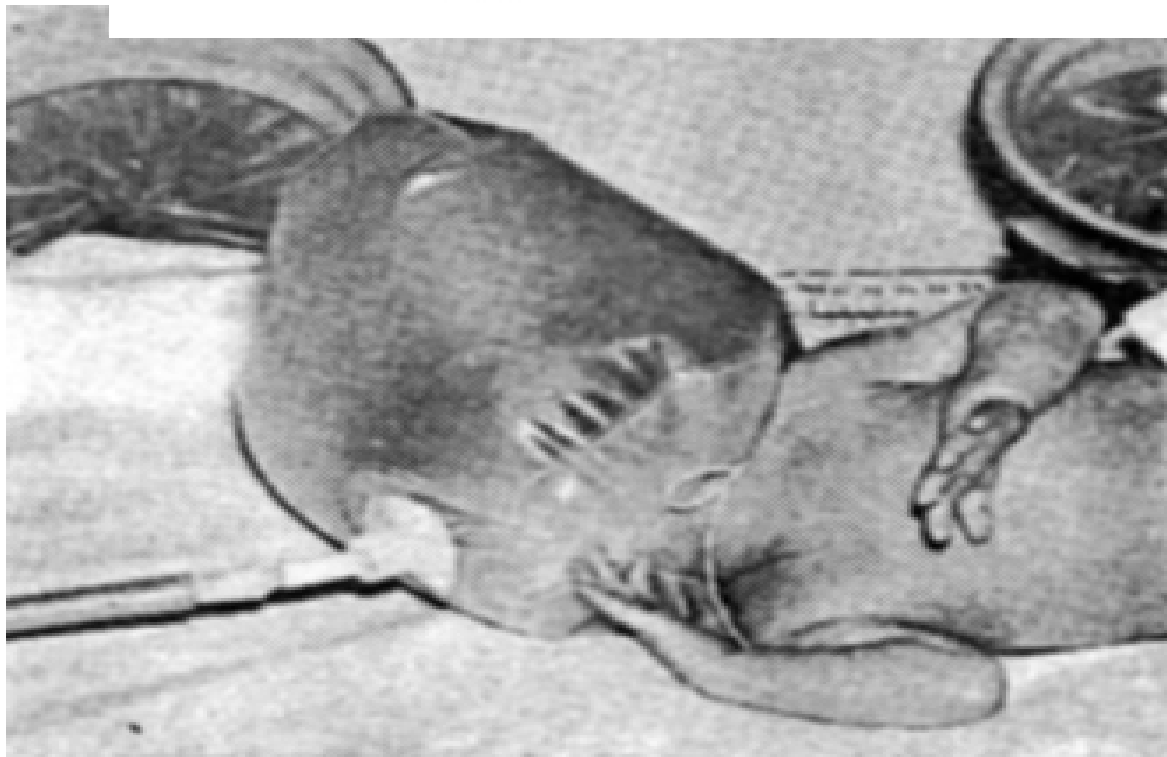
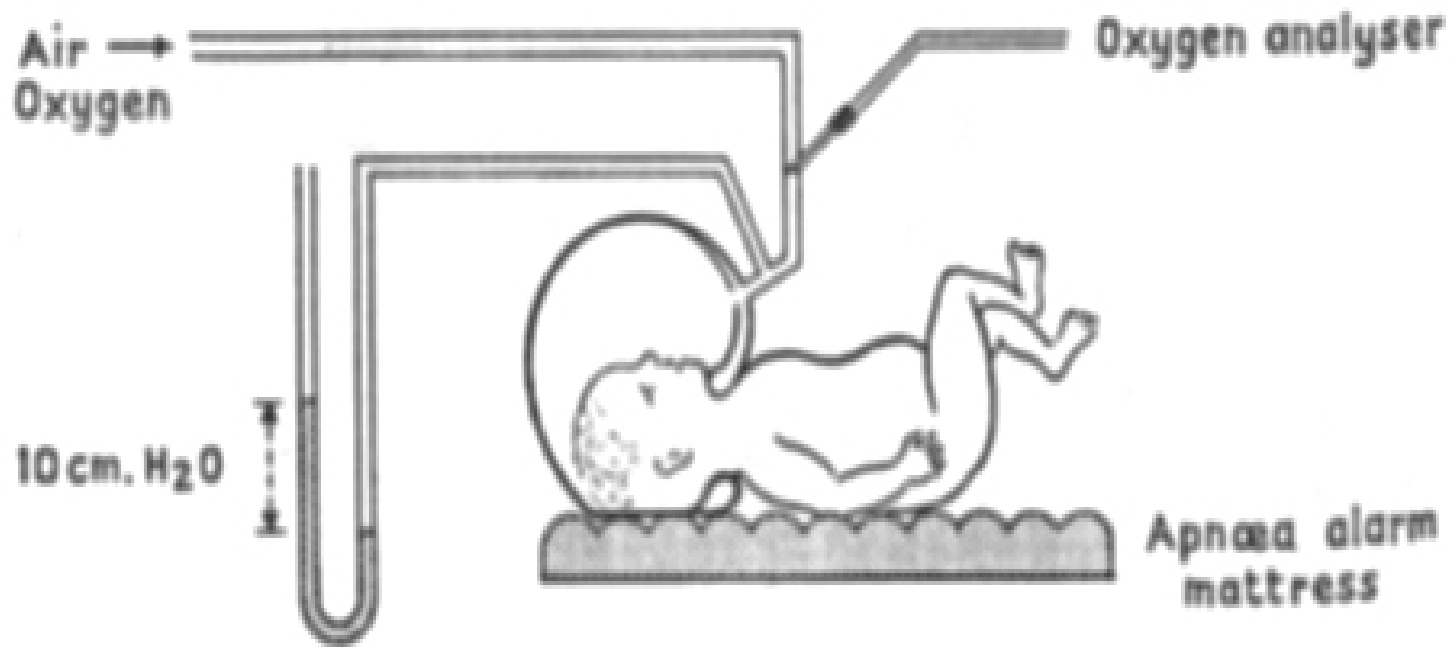


Gregory et al. N Engl J Med 284: 1333, 1971

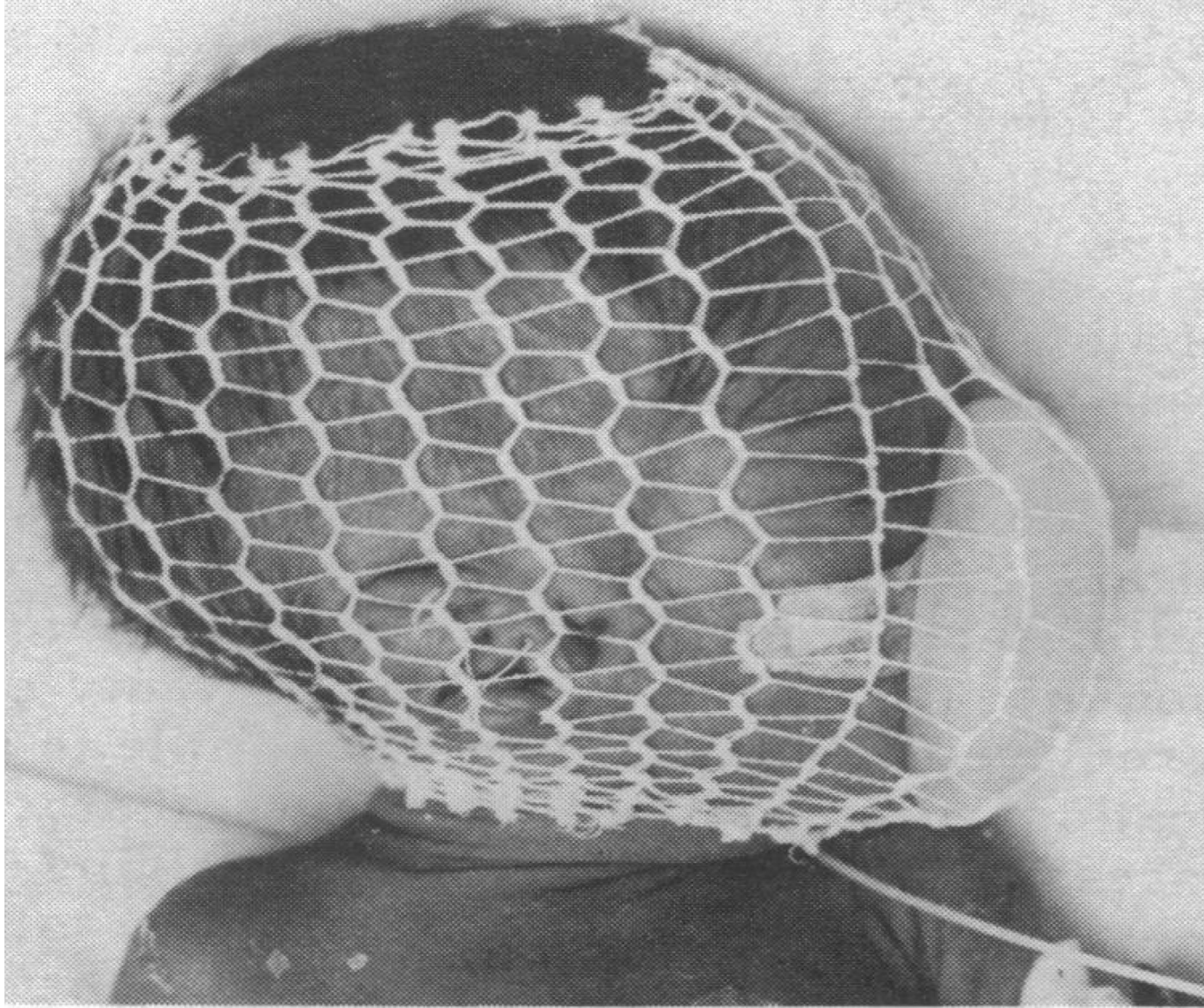
Head Box for CPAP without Endotracheal Tube



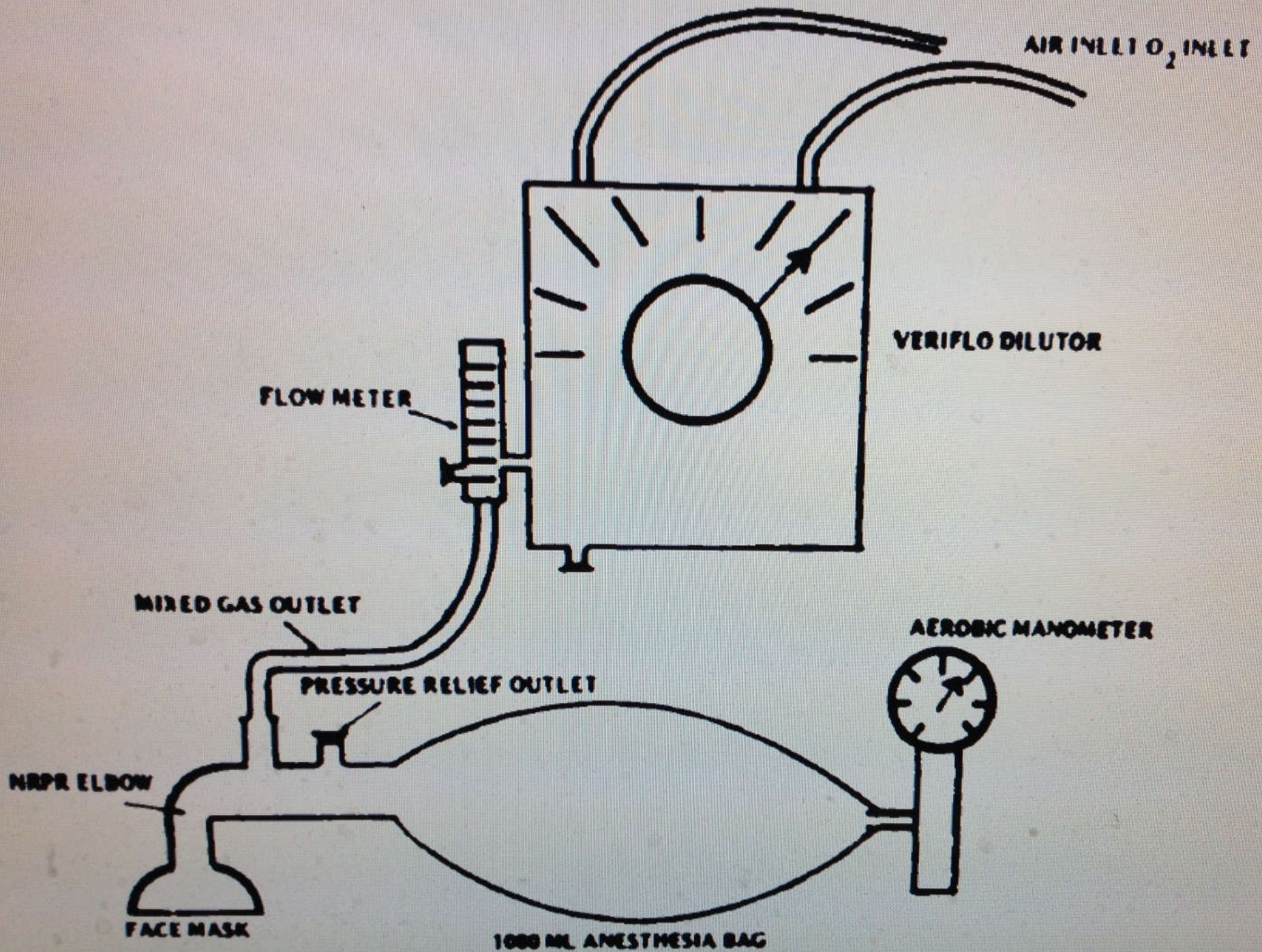
Gregory, et al., NEJM, 1971

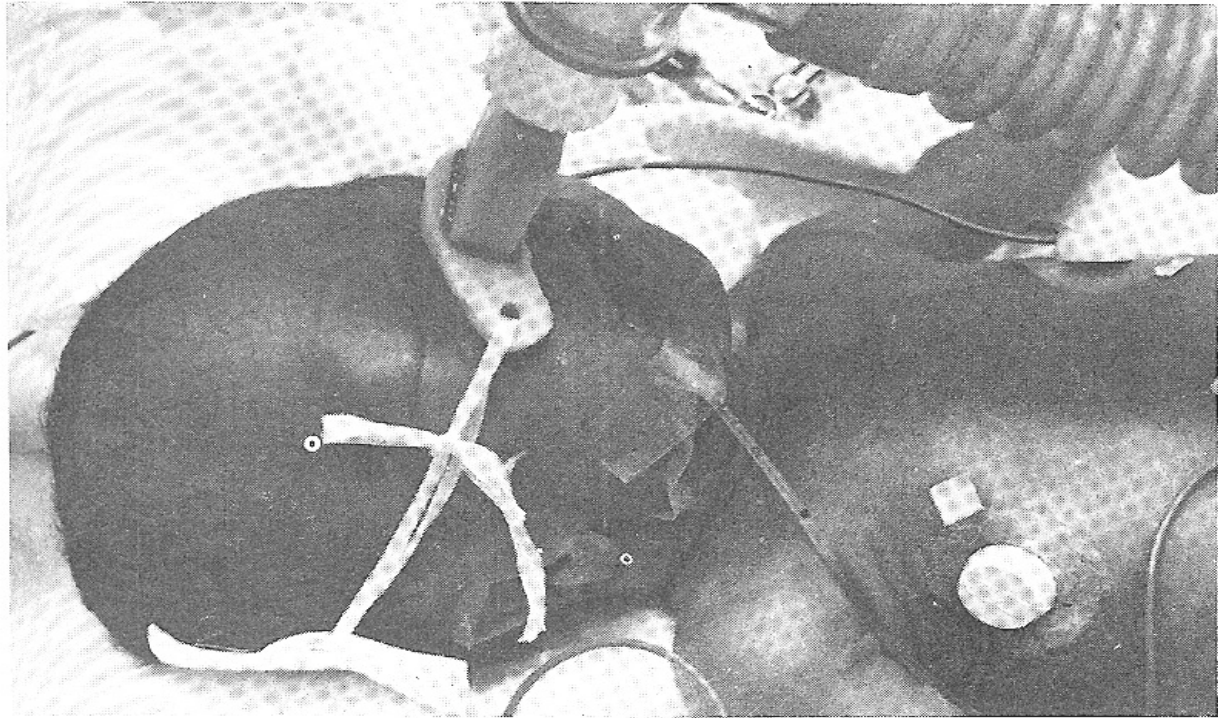
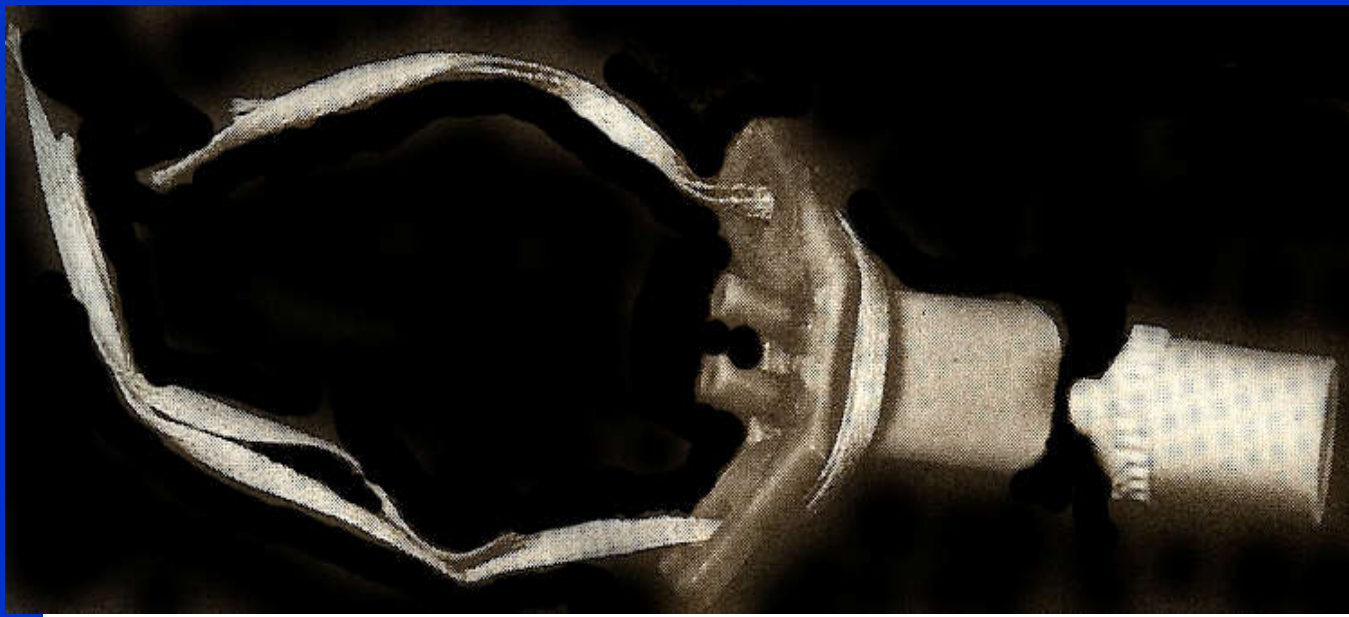


H. Barrie, *Lancet*,
i (1972), p. 776

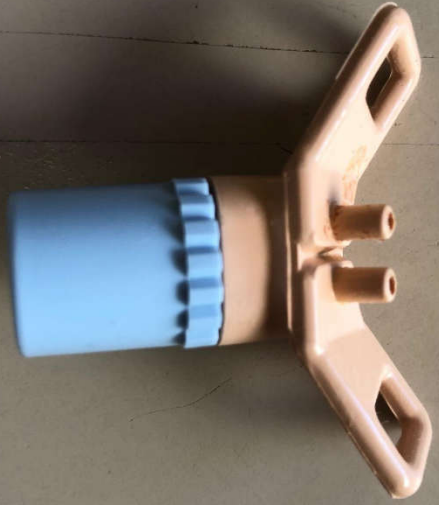


L. P. ALLEN et al
British Medical Journal, 1975, 4, 137-139





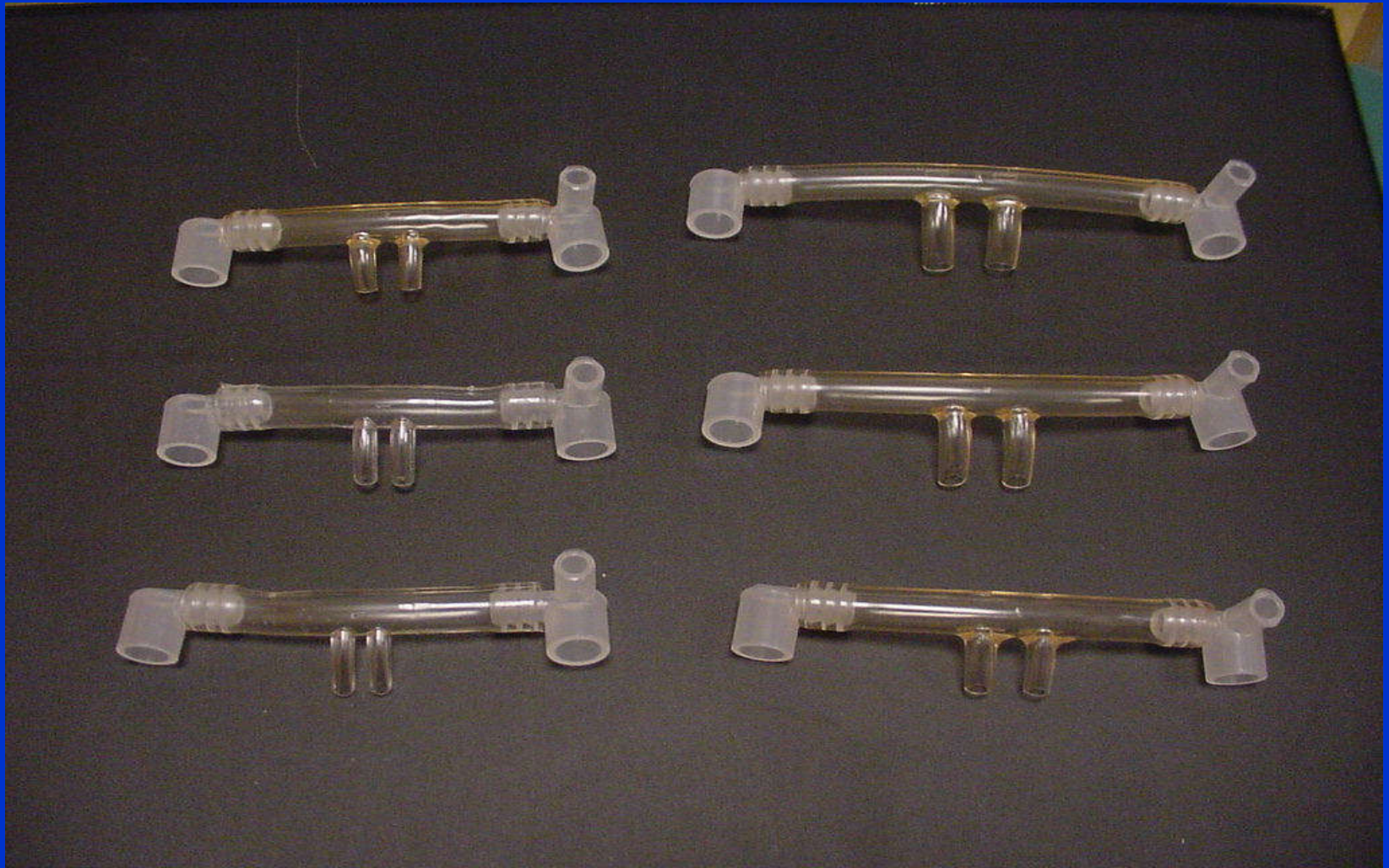
off,





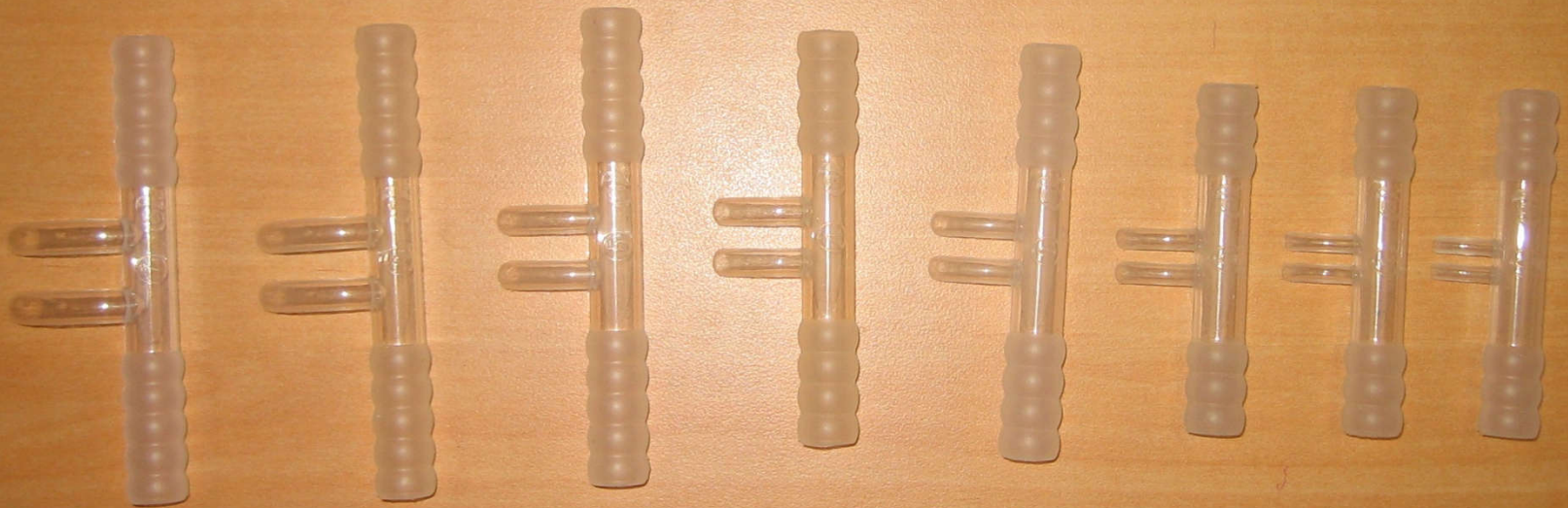


CPAP Cannulae (Hudson)



Babi-plus nCPAP

Size 7 – size 0

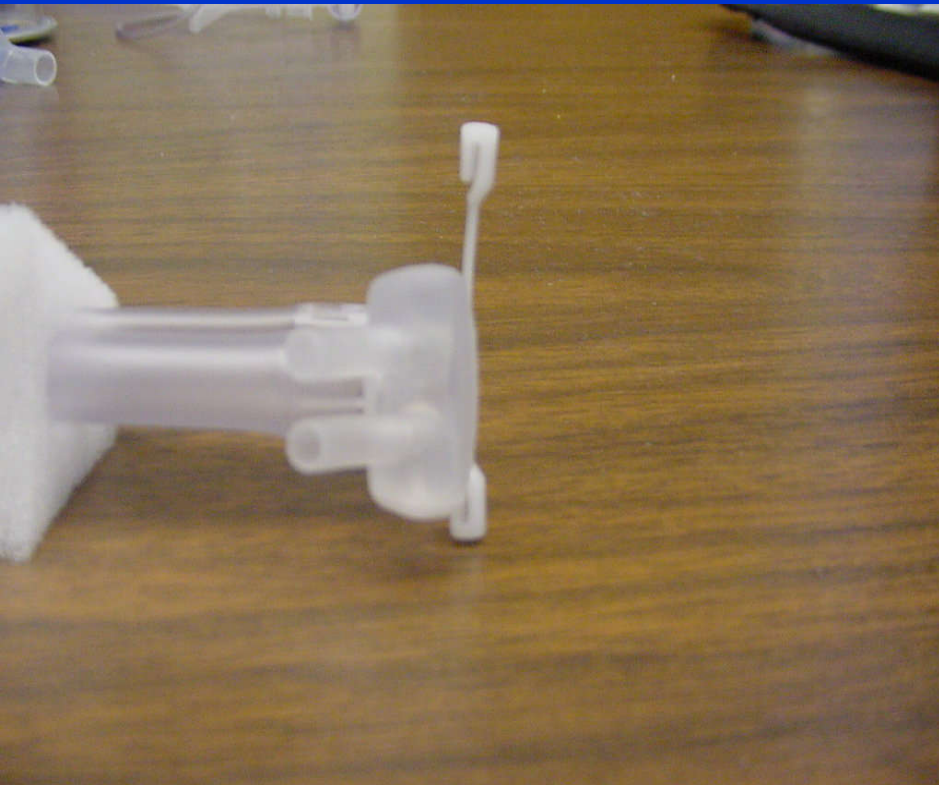


Made of silicone, not PVC.
latex-free

INFANT FLOW™ nCPAP System



Fisher & Pakel

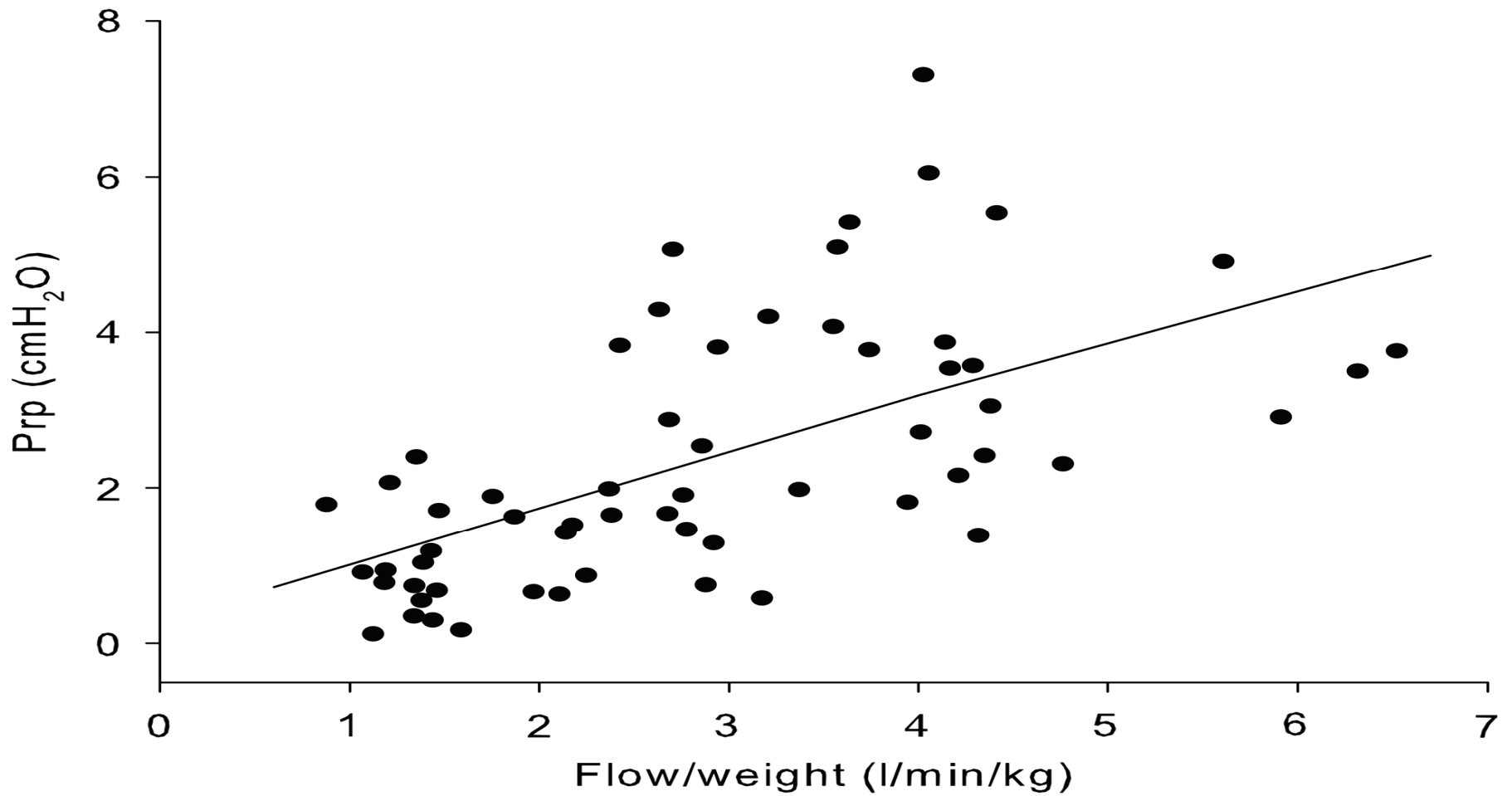


Nasal Cannula



Nasal CPAP





Linear regression between flow rate divided by infants' weight and end-expiratory Prp in heated, humidified, high-flow, nasal cannula (HHHFNC) ($Prp=0.3+0.7*V'$; $r^2=0.37$)

Respiratory Mechanics during NCPAP and HHHFNC at equal distending pressure, Anna Lavizzari et al. Arch Dis Child Fetal Neonatal Published online 30 April 2014

			HHHFNC	nCPAP
Collins, 2013	Extubation Failure	Total	15/67	22/65
(J Pediatr)		28-32 wk	7/37	8/36
		<28 wk	2/30	1/29
	BPD		24/67 (36%)	28/65 (43%)
Manley, 2013	Extubation Failure	Total	52/152	39/151
(NEJM)		26-32 wk	26/120	20/120
		<26 wk	26/32	19/31
	BPD		47/152 (31%)	52/151 (34%)

Incidence of BPD

CHONY (6/99 –7/02)

Proposed New Definition

BW(g)	GA(wks)	O₂ (36 wks)	Mild	Mod.	Severe
< 750	25.4 ± 2.0	1 8.3%	31.6%	15.0%	3.3%
750-1000	26.9 ± 1.8	1.4%	16.9%	1.4%	0
1001-1250	29.0 ± 1.8	1.1%	0	1.1%	0
Total	27.4 ± 2.4	5.9%	14.1%	5.0%	0.9%

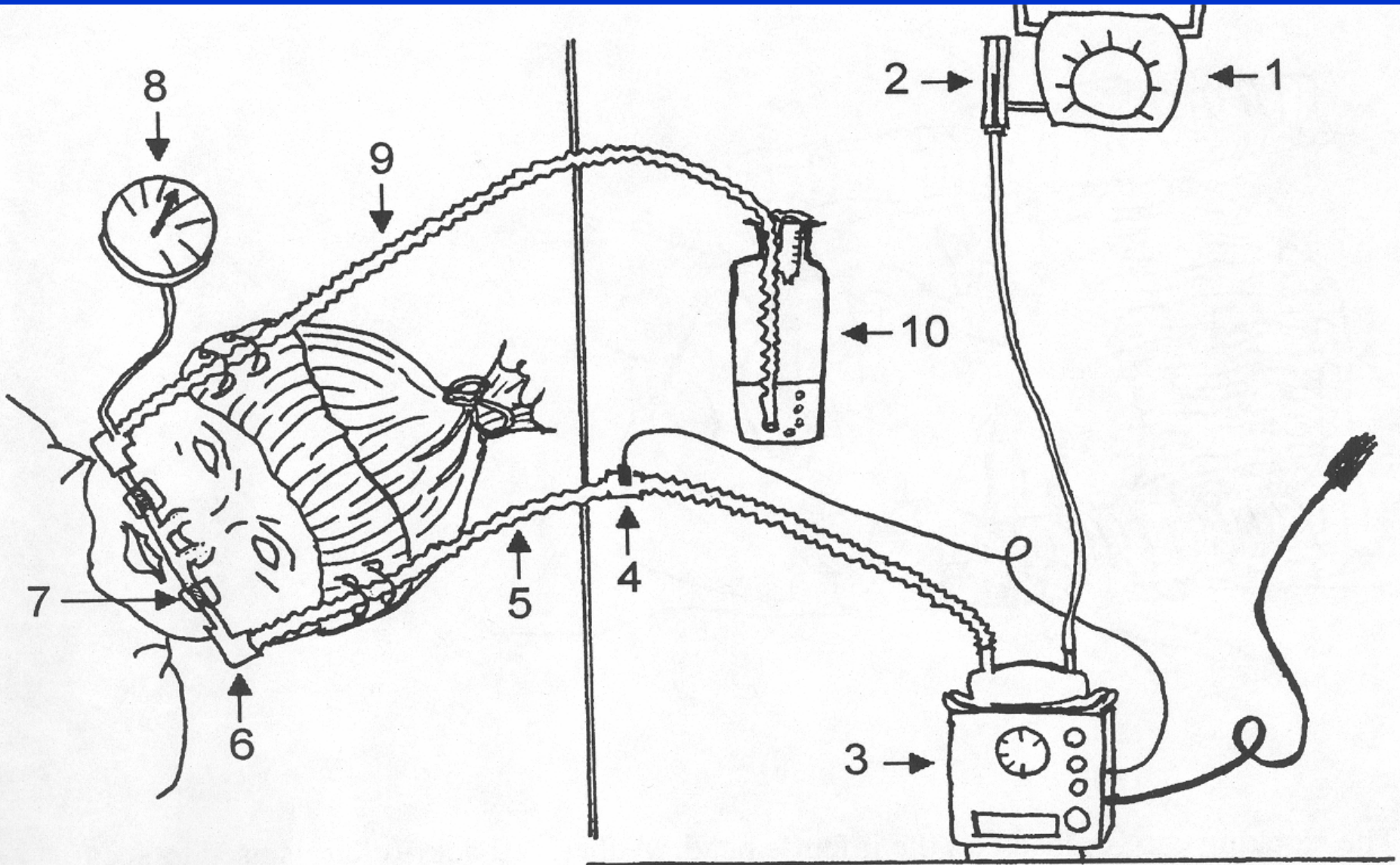
HFNC vs CPAP

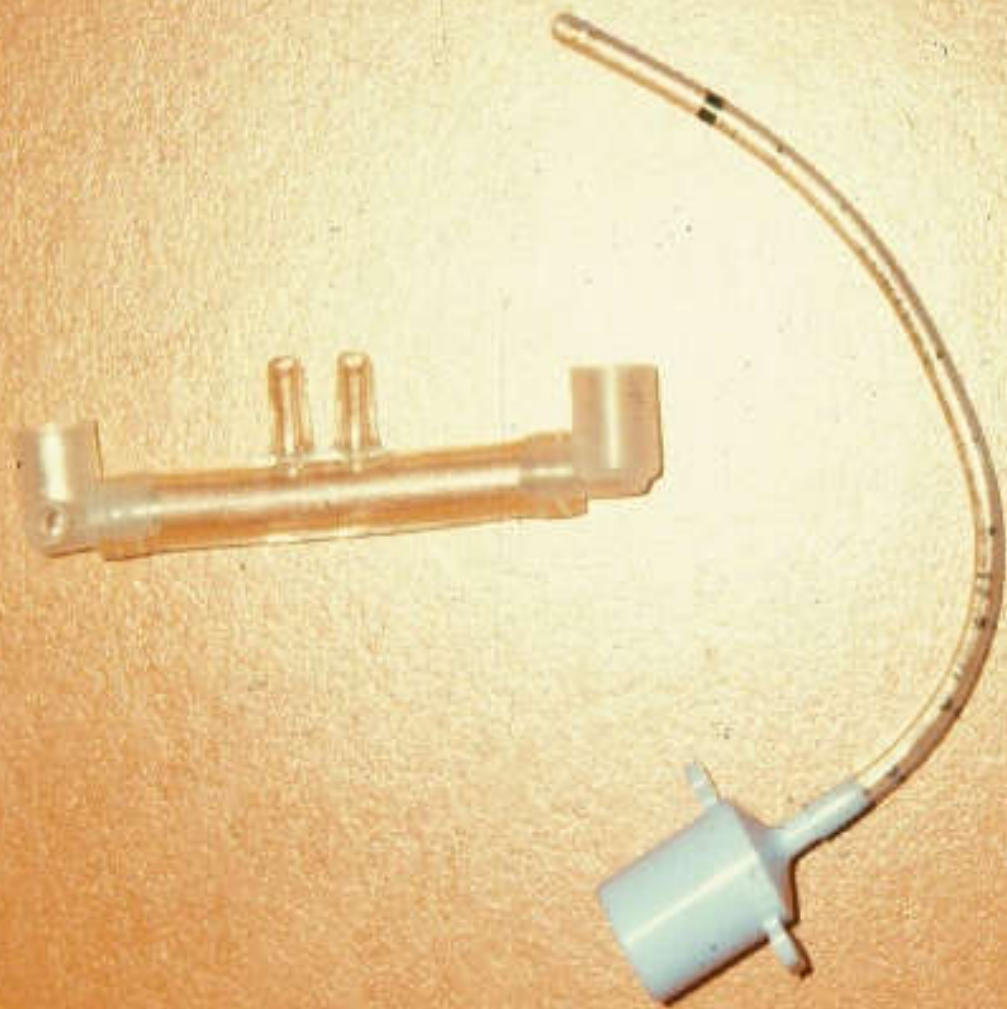
Use of HFNC in ELBW infants is associated with:

- ❖ A higher risk of death or BPD
- ❖ Increased respiratory morbidities
- ❖ Delayed oral feeding, and
- ❖ Prolonged hospitalization.

Dalal K. Taha et.al. J Pediatr 2016;173:50-5)

CPAP Delivery System (Columbia)



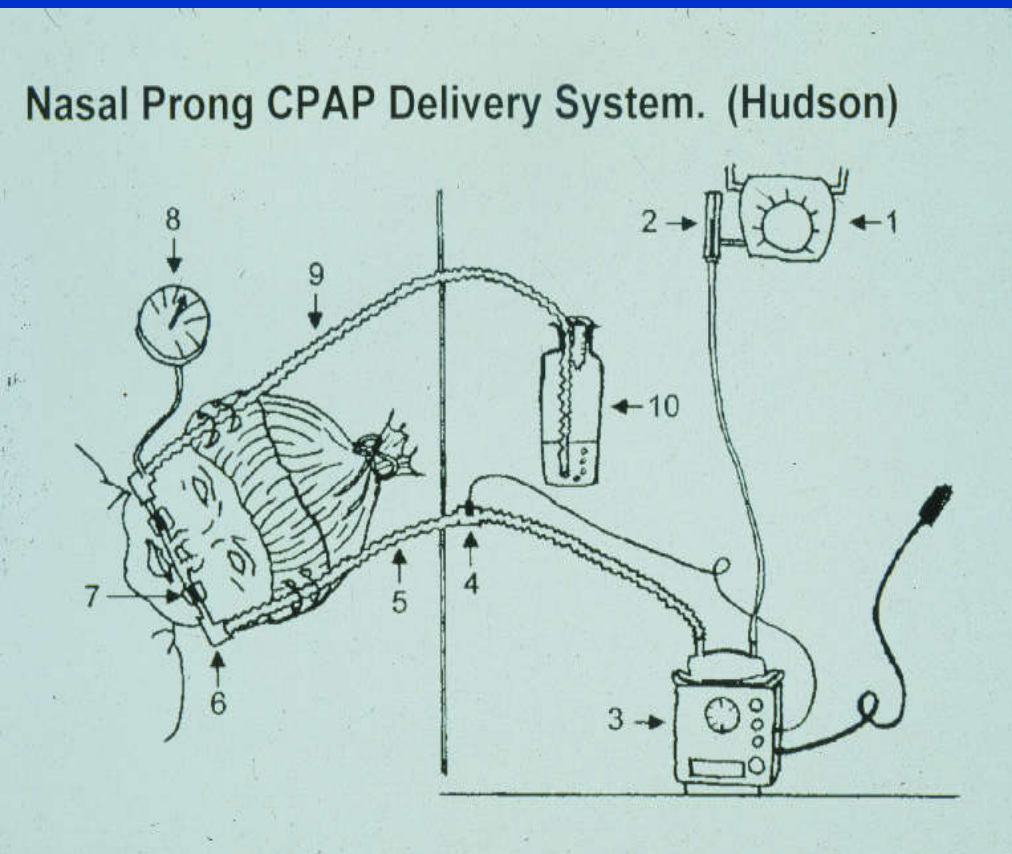


$$\text{Resistance} = \frac{\text{Viscosity} \times \text{Length}}{\text{Radius}^4} \times \frac{8}{\pi}$$

ET tube ID:	Resistance (cmH2O/5lpm)
2.5 mm (length 10 cm)	14.2
3.0 mm (length 12 cm)	6.5
3.5 mm (length 12 cm)	4.3
Hudson CPAP prong size:	
0	2.5
1	1.0
2	1.0
3	0.5
4	0.5
5	0.5

Nasal CPAP

Set up (1)



1. Oxygen blender
2. Flowmeter
(5-10 LPM)
3. Heated humidifier
4. Thermometer
5. Inspiratory tubing
6. Nasal cannulae
7. Velcro

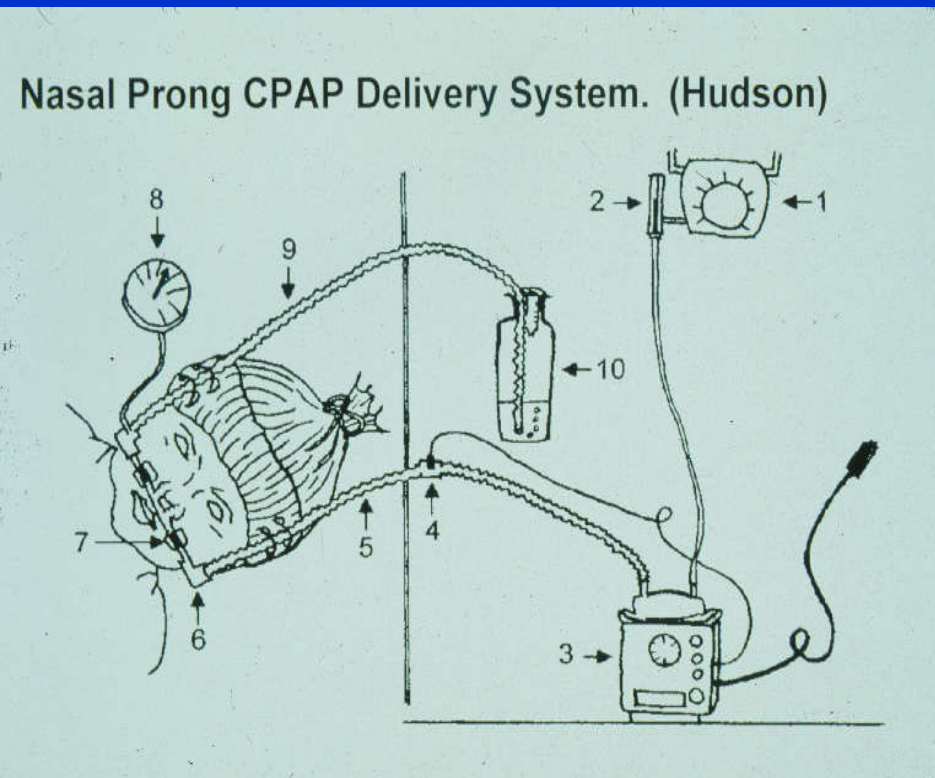
Nasal CPAP

Set up (2)

8. Manometer (optional)

9. Expiratory tubing

10. A bottle containing a solution of 0.25% acetic acid filled up to a depth of 7 cm. Distal tubing immersed to a depth of 5 cm to create +5 cmH₂O



Nasal CPAP

Application (5)

7. Insert the lightweight corrugated tubing (preferably with heating wire inside) in a bottle of 0.25% acetic acid solution or sterile water filled up to a height of 7 cm. The tube is immersed to a depth of 5 cm to create 5 cmH₂O CPAP as long as air bubbling out of solution



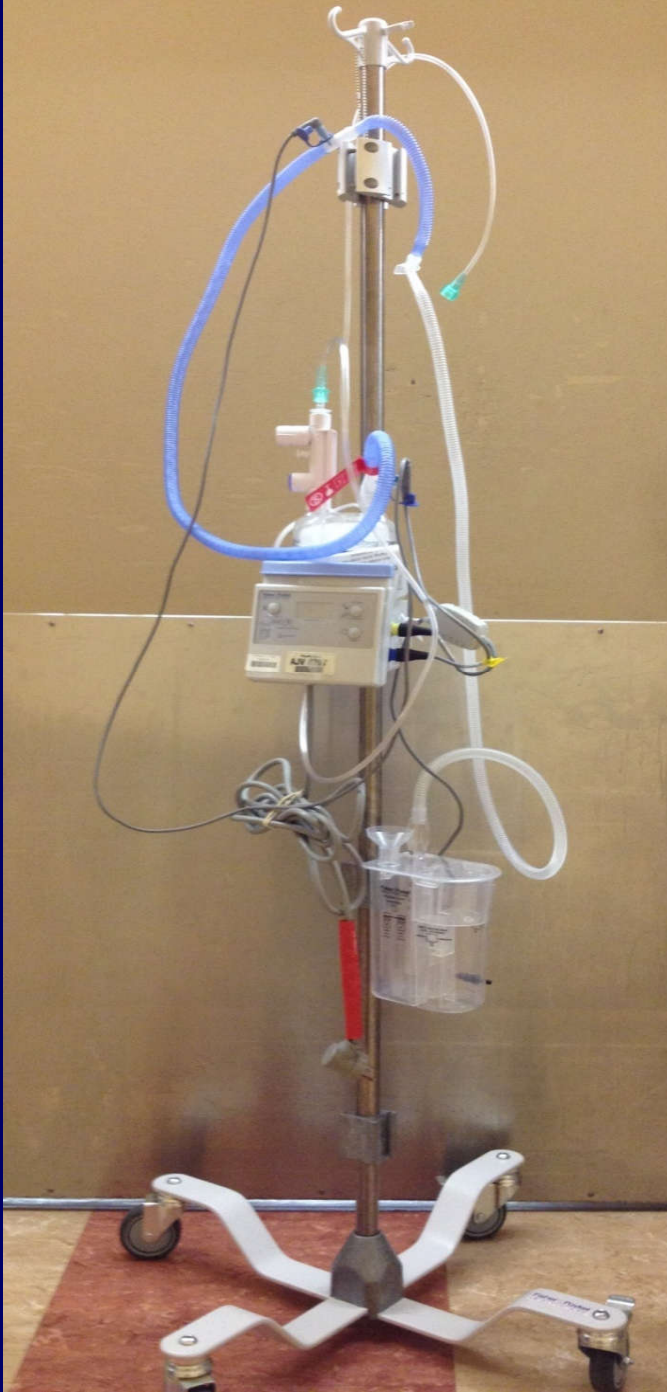


Babi-plus Bubble PAP valve

Fisher & Pakel



Bubble CPAP (Portable)



Nasal CPAP

Application (1)



1. Position the baby in supine position with the head elevated about 30 degrees
2. Place a small roll under the baby's neck
3. Put a pre-made hat or stockinet on the baby's head to hold the CPAP tubings

Nasal CPAP Application (2)



4. Choose FiO_2 to keep PaO_2 at 50's or O_2 saturation at 85 – 95%

Nasal CPAP

Application (3)



5. Adjust a flow rate 5-10 lpm to:
 - a) provide adequate flow to prevent rebreathings CO_2
 - b) compensate leakage from tubing connectors and around CPAP prongs
 - c) generate desired CPAP pressure (usually 5 cmH_2O)



6. Keep inspired gas temperature at 37° C

Nasal CPAP

Application (6)

8. Choose the proper size of nasal Cannulae

CPAP Cannulae

<u>Size</u>	<u>B.W.</u>
0	< 700g
1	~1000g
2	~ 2000g
3	~ 3000g
4	~ 4000g
5	infant

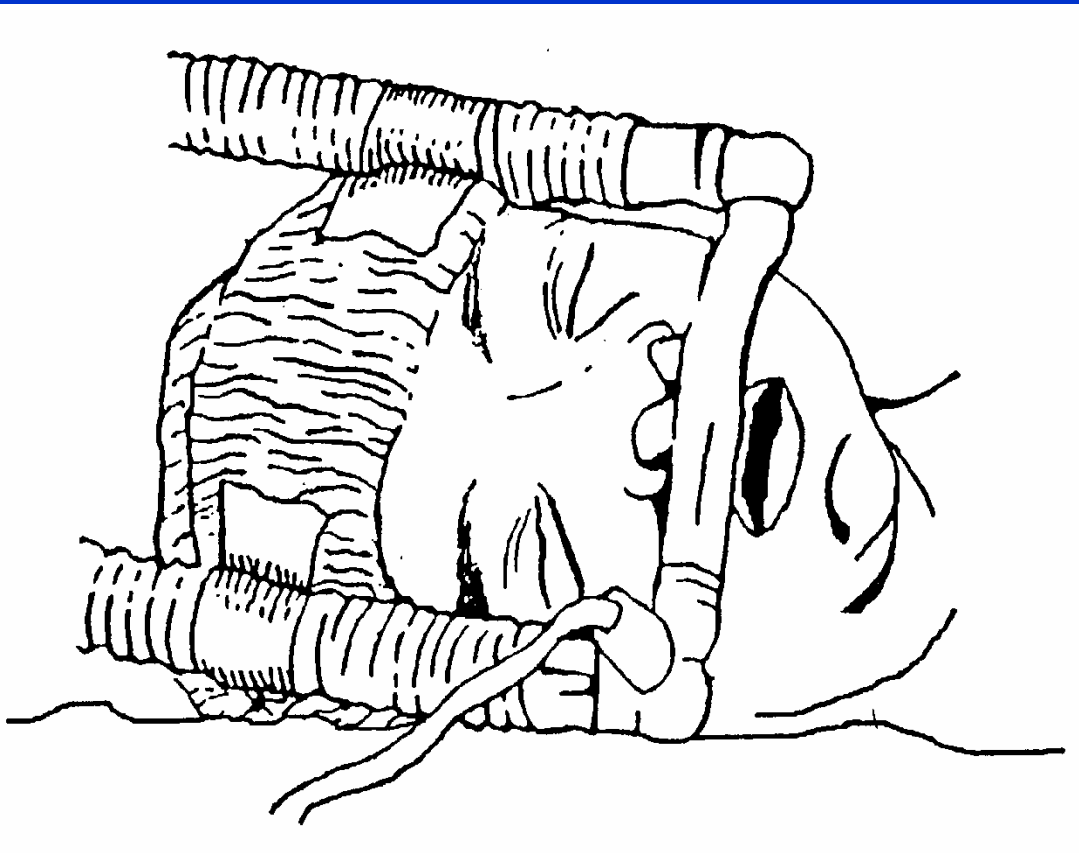
Nasal CPAP Application



Secure tubings on both sides of the hat with either safety pins and rubber band or velcro

Nasal CPAP

Application (9)

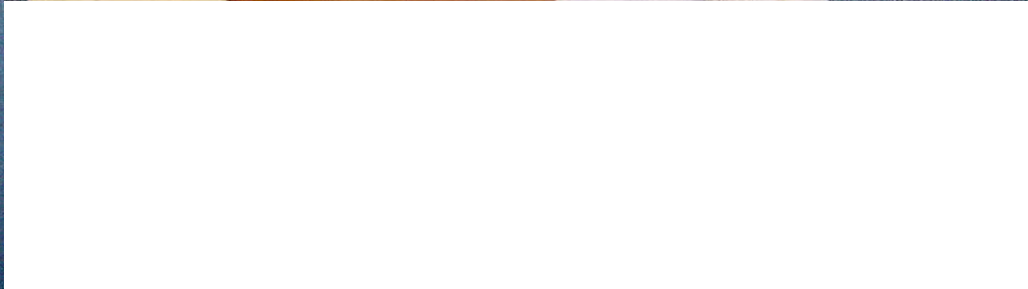


11. Secure tubings on both sides of the hat with either safety pins and rubber band or velcro









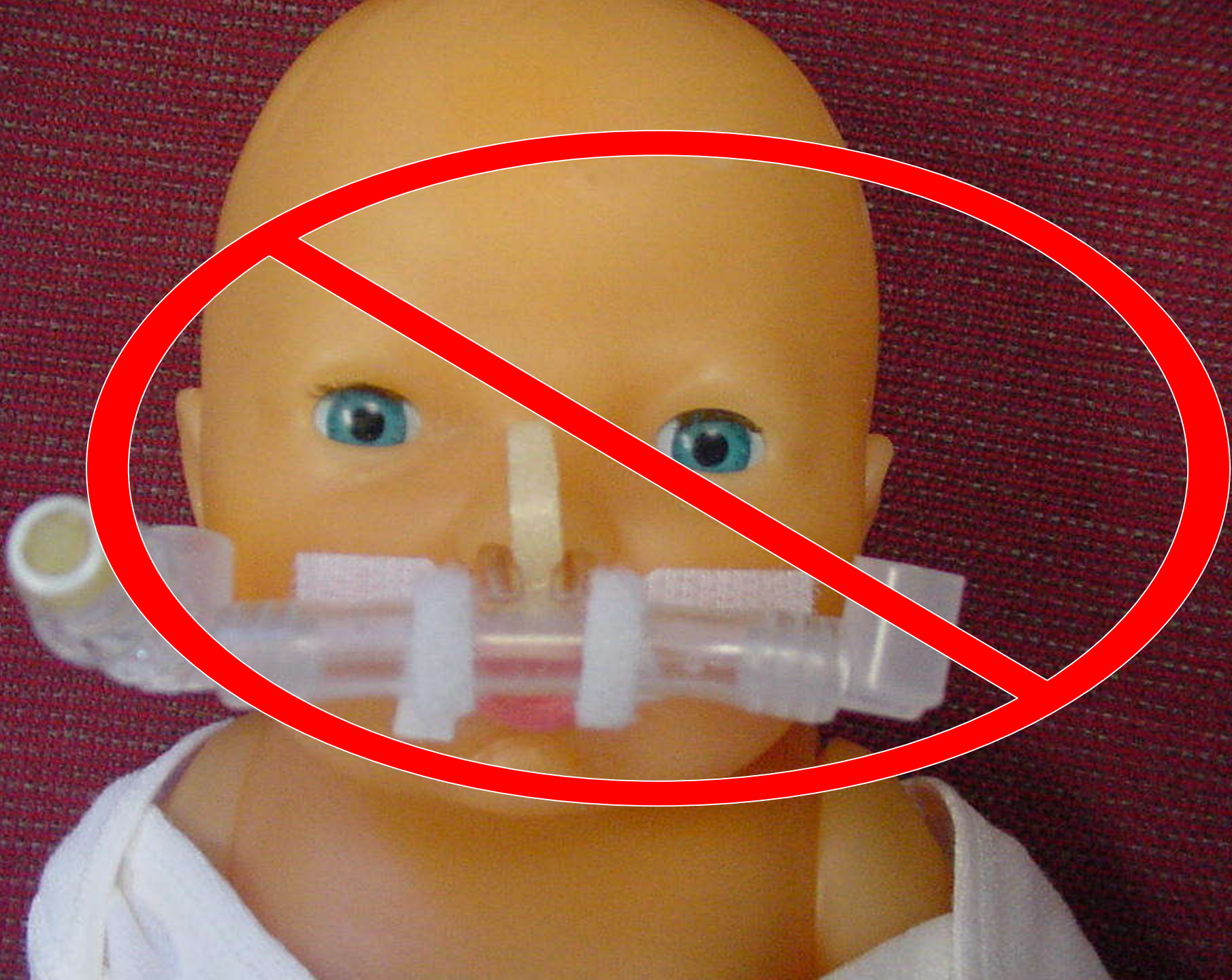












Pressure = Force / Surface Area











Nasal CPAP

Maintenance (1)

1. Observe baby's vital signs, oxygenation and activity
2. Systematically check CPAP systems, inspired gas temperature, air bubbling out of acetic acid solution. Empty condensed water in the circuit
3. Check CPAP prongs position and keep CPAP cannulae off the septum at all times. A snug cap is used to securely hold the tubings in place and using self-adhesive Velcro to keep cannulae away from the septum if necessary

Nasal CPAP

Maintenance (2)

4. Suction nasal cavities, mouth, pharynx and stomach q4h and prn
5. If baby swallows lot of air, suction should be more often and insert gastric tube.
6. Change the baby's position
7. Change CPAP circuit once a week

Nasal CPAP

Weaning

- CPAP is kept at 5 cmH₂O
- FiO₂ is adjusted to keep PaO₂ in 50's, or oxygen saturation around 90% (85 -95%)

Three CPAP weaning methods

- M1:** Taken 'OFF' CPAP with the view to stay 'OFF'
- M2:** Cycled on and off CPAP with incremental time 'OFF'.
- M3:** As with M2, cycled on and off CPAP but during 'OFF' periods were supported by 2 mm nasal cannula at a flow of 0.5 l/min.

Methods of weaning preterm babies <30 weeks gestation off CPAP: a multicentre randomised controlled trial

	M1 (n=56)	m ² (n=69)	M3 (n=52)	Sig
Time of wean‡	11.3±0.8	16.8±1.0*	19.4±1.3*	p<0.0001
Total days CPAP	24.4±0.1	38.6±0.1*	30.5±0.1*	p<0.0001
CGA OFF CPAP	31.9±0.1	34.1±0.1*	32.8±0.2*	p<0.0001
Oxygen duration‡	24.1±1.5	45.8±2.2*	34.1±2.0*	p<0.0001
BPD	7/56 (12.5%)	29/69 (42%)†	10/52 (19%)	p=0.011
Length of Admission	58.5±0.1	73.8±0.1*	69.5±0.1*	p<0.0001
CGA at D/C#	35.8±0.1	36.9±0.1*	36.9±0.1*	p<0.0001

CGA: corrected GA;

Nasal CPAP

Discontinued

- No tachypnea or retraction
- No apnea and bradycardia
- FiO_2 is room air

Nasal CPAP

Complications (1)

- Nasal obstruction from secretions or improper application of nasal prongs
- Gastric distention from swallowing air, abdominal distention, especially in infants on aminophylline or caffeine
- Nasal septum erosion or necrosis
- Fluctuating FiO_2
- Air leak: <5%, usually occurs during acute phase

Nasal CPAP

Complications (2)

- Pneumothorax, if occurs, usually occurs within the first few days of use, not after a week. Furthermore, pneumothorax is generally less severe and less frequent in infants on CPAP compared to intubated infants on mechanical ventilation.
- Most of complications are preventable
- The majority of the problems can be attributed to inappropriate use, wrong device or a lack of training and experience









product
"pH-D's"





WARNING

- DO NOT PREVENT AIR FLOW WHEN ACCESS PANEL IS OPEN.
- DO NOT LEAVE INFANT UNATTENDED.
- DO NOT FORCE PANEL WHEN PULLING OUT. TRAY DISLODGING CAN OCCUR.



MIC SUSAN







Feeding on CPAP

Baby may feed at breast



Babies on NIV have adequate aerodigestive reflexes to prevent aspiration.

Concern over increased incidence of GERD is unfounded

Safety and efficacy of oral feeding in infants with BPD on nasal CPAP

Dysphagia. 2015 Apr. 30(2): 121-7

Hanin M, Nuyhakki S, Malkar MB, Jadeherla SR



395 gms , On CPAP since birth

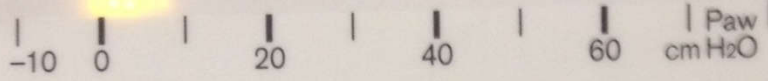
CPAP

Novel Application

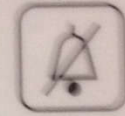
- Mechanical ventilation via nasal CPAP cannulae
- Preferably in SIMV, A/C or pressure support mode.
Infant Star Sync is not available anymore.
(using Servo i with NAVA).
- PIP: 15-20 cmH₂O, PEEP: 5 cmH₂O
- Indications:
 - ✓ Frequent A&B
 - ✓ High PaCO₂
 - ✓ Laborious breathings



Trigger



Alarm

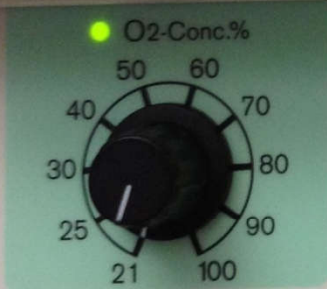
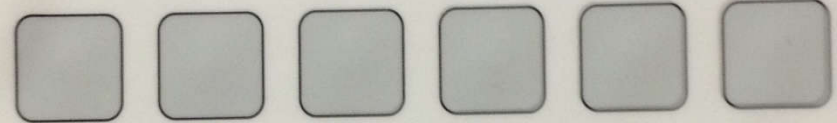


man. Insp.

Tin 0.50 s UTin 8.0 L/min CMV
 Tex 1.0 s UTex 8.0 L/min
 fset 40 bpm P_{insp} 20 cmH₂O
 I:E 1: 2.0 PEEP 5.0 cmH₂O Δ P100
 O₂conc 21 % Trig 1.0
 Set? Meas? Meas?

Confirm

Cal. Config.

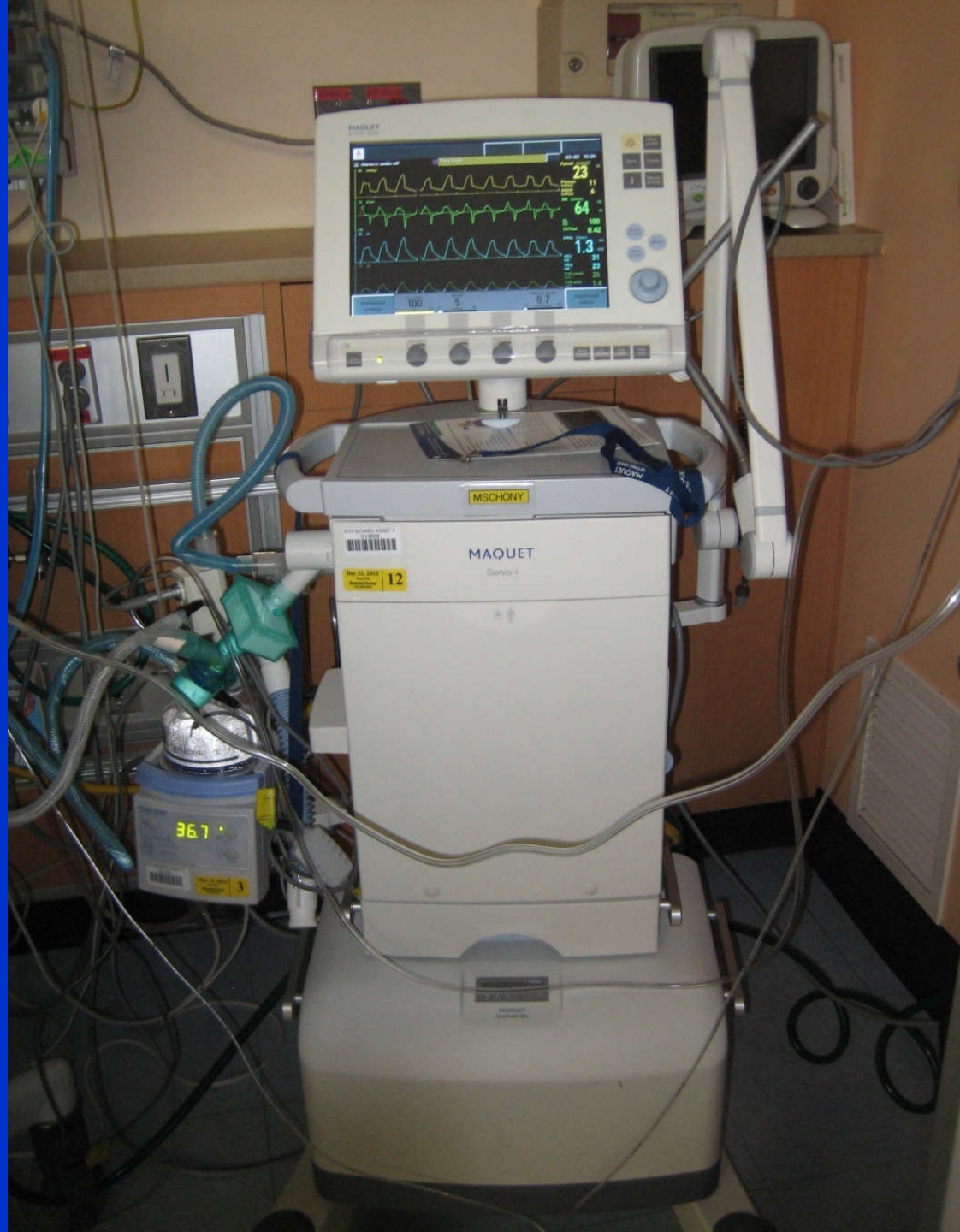


Vent. Mode

Vent. Options



Caution/Consult accompanying documents





NIV NAVA

Admit patient

Nebulizer

Status



Alarm profile

Save

Trends



Neural access

Quick access

Menu

Main screen

05-15 16:17



Ppeak (cmH₂O)
19



PEEP (cmH₂O) **4**
RR (b/min) **84**



O₂ (%) **40**
Ti/Ttot **0.53**
MVe (l/min) **3.4**



VTi (ml) **45**
VTe (ml) **45**
Leakage (%) **87**
Edi peak (µV) **19**
Edi min (µV) **3.1**

Additional settings

O₂ conc. **40** %
PEEP **5** cmH₂O

NAVA level **1.0** cmH₂O_l·min⁻¹·V⁻¹

Additional values







0
1
2
3
4
5
6
7
8
cm

SIZE 2

1250-2000g

**CANNULAIDE[®]
INFANT CPAP
NASAL SEAL**

For use by or on order of a
licensed physician only.

■ **May 2005**

Lot **20031001**

1

Reorder CA102

For single use only.



Beevers Mfg & Supply
503-472-9055

Rev C 2003 09 30



CPAP



Mechanical Ventilation

Indications

1. Marked retractions on CPAP (not due to nasal obstruction)
2. Frequent apnea and bradycardia on CPAP
3. $\text{PaO}_2 < 50$ mm Hg with $\text{FiO}_2 > 60\%$
4. $\text{PaCO}_2 > 70$ mm Hg (except 1st ABGS)
5. Intractable metabolic acidosis
($\text{BD} > 10$ meq/L after Rx with NaHCO_3)
6. Other (Cardiovascular collapse, Neuromuscular disorder, Congenital diaphragmatic hernia, or for Surgery, MRI, Cardiac catheterization, etc.)

The Columbia Experience with CPAP



 Morgan Stanley
Children's Hospital
of New York-Presbyterian
Columbia University Medical Center

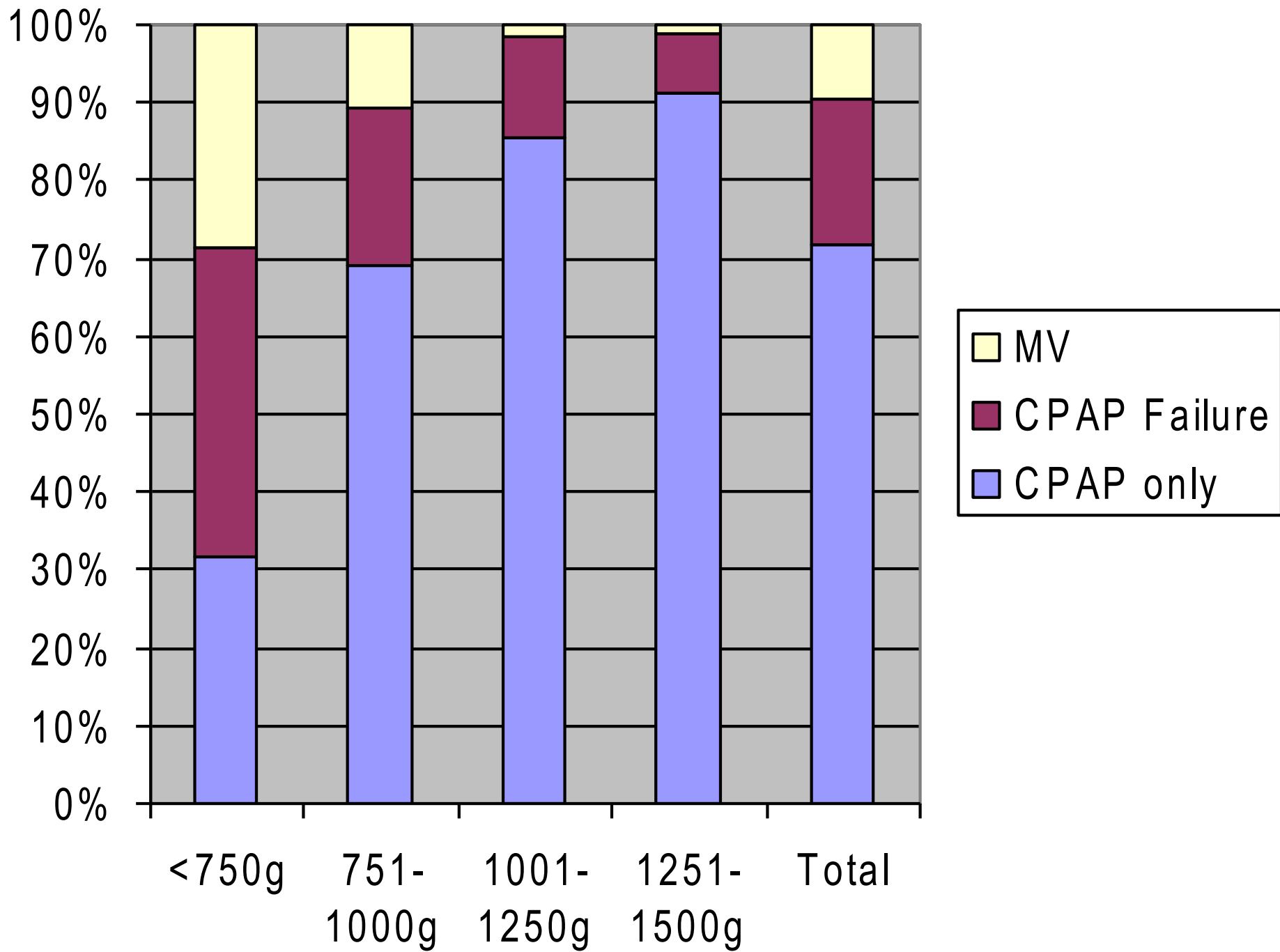
The Columbia Experience (1997-1999)

A retrospective database review for a cohort of all live inborn VLBW infants (BW 500-1500g) born between Jan 1, 1997 and Dec 31, 1999 (three calendar years).

- 320 infants were divided into three groups :
 - 1) CPAP only group (n = 230): received only bubble NCPAP for respiratory support during the first 24 hrs of life.
 - 2) CPAP failed group (n = 60): Infants managed initially with NCPAP who required intubation within 24 hrs of birth.
 - 3) Vent only group (n = 30): Infants requiring intubation immediately following birth.

The Columbia Experience (1997-1999)

BW(gm)	CPAP Only(%)	CPAP/ IMV(%)	IMV (%)	Total	Expired (%)
500-750	21(31.8)	26(39.4)	19(28.8)	66	11(16.7)
751-1000	58(69)	17(20.2)	9(10.7)	84	6(7.1)
1001-1250	59(85.5)	9(13)	1(1.4)	69	0
1251-1500	92(91)	8(7.9)	1(1)	101	7(6.9)
Total	230(71.9)	60(18.8)	30(9.4)	320	24(7.5)



BPD

(Required oxygen supplement at 36 wks PCA)

BW(gm)	CPAP only	CPAP/IMV	IMV	Total
500-750	0/21	1/26	3/19	4/66
751-1000	1/58	0/17	0/9	1/84
1001-1250	0/59	0/9	0/1	0/69
1251-1500	1/92	1/8	0/1	2/101

Intraventricular Hemorrhage (IVH Grade III-IV)

BW(gm)	CPAP only	CPAP/IMV	IMV	Total
500-750	1/21	3/26	4/19	8/66
751-1000	0/58	0/17	0/9	0/84
1001-1250	1/59	0/9	0/1	1/69
1251-1500	0/92	1/8	0/1	1/101

Retinopathy of Prematurity (ROP Stage 3-4)

BW(gm)	CPAP only	CPAP/IMV	IMV	Total
500-750	4/21	5/26	3/19	12/66
751-1000	0/58	0/17	0/9	0/84
1001- 1250	0/59	0/9	0/1	0/69
1251- 1500	0/92	0/8	0/1	0/101

Mortality before Discharge

BW(gm)	CPAP only	CPAP/IMV	IMV	Total
500-750	0/21	3/26	8/19	11/66
751-1000	1/58	3/17	2/9	6/84
1001-1250	0/59	0/9	0/1	0/69
1251-1500	3/92	3/8	1/1	7/101

NICU Quality and Outcome, 2013

501 – 1500g

	MSCH (Columbia)	Vermont Oxford
Incidence of BPD	7.7%	34.6%
Nosocomial infection	5.8%	13.4%
Incidence of IVH	13.5%	25.9%
Incidence of Severe ROP	6.3%	7.8%
Incidence of NEC	5.5%	6.7%
Neonatal Mortality rate	9.5%	15.0%

The strategy of

Early nasal CPAP therapy first and

**Surfactant replacement only for
rescue**

**does not jeopardize outcome of very
low birth weight infants**



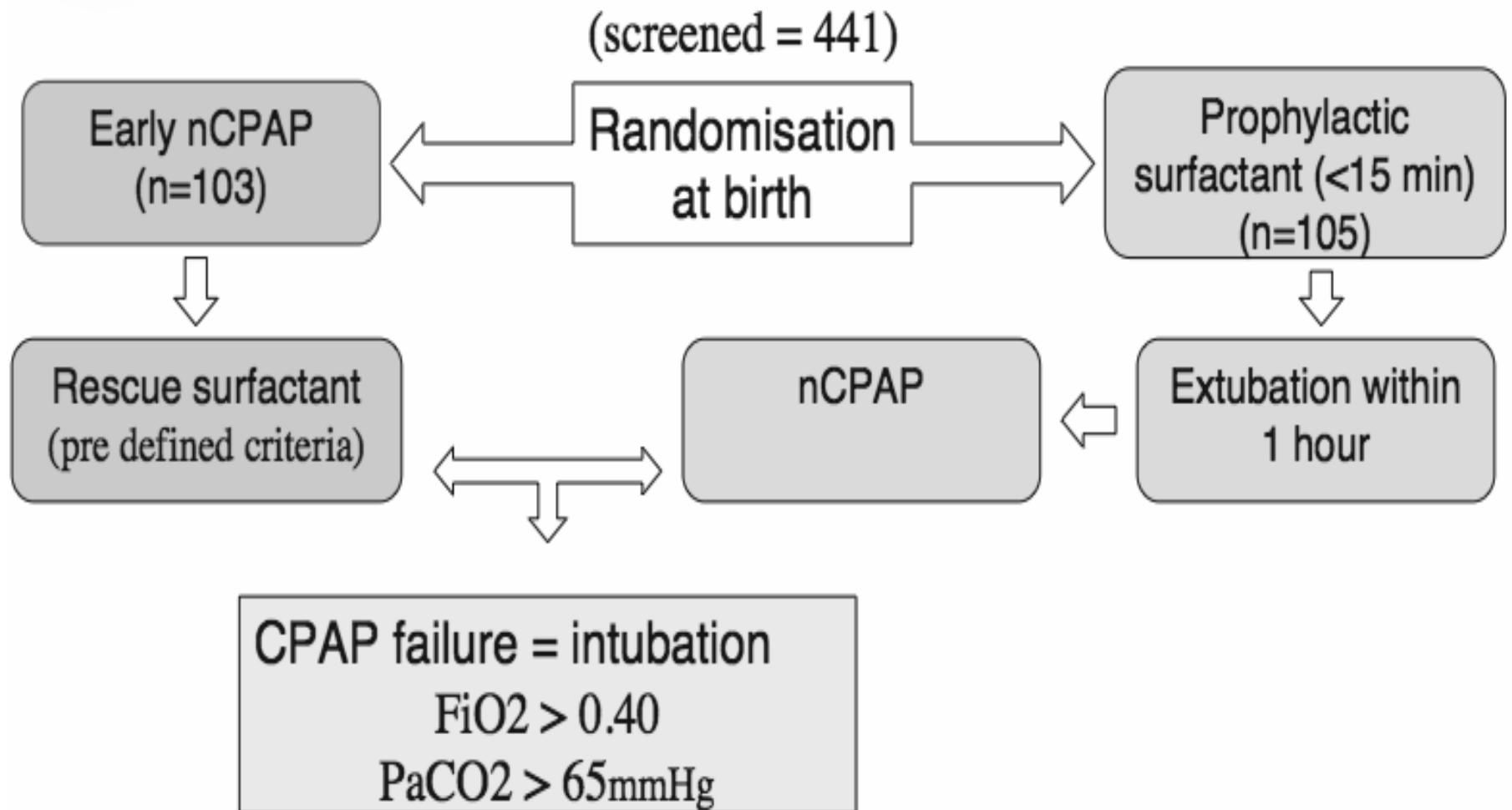
CURPAP study

(R Plavka, U Simeoni et al. ESPR: Arch Dis Child 2008;93(Suppl II):A34)

- **Question**: is the prophylactic administration of surfactant superior to early rescue treatment in spontaneously breathing infants supported on nCPAP, in reducing the need for mechanical ventilation, during the first 5 days of life?
- **Population**: 25-28 weeks infants (European multicentre, n=24)
- **Outcome**:
 - . Duration of mechanical ventilation during the first 5 days of life
 - . Mortality, pulmonary and neurological morbidity



CURPAP study: method





CURPAP study: preliminary results

Parameter	nCPAP	CURPAP
Gestational age (median in weeks)	27	27
Birth weight (g)	913 \pm 200	967 \pm 221
Antenatal steroids	98%	96%
CPAP failure \Rightarrow mechanical ventilation	31%	33%
Pneumothorax	1%	6.7%*
Mortality	10.7%	8.6%
BPD	22%	23.8%

50% rescue surfactant
(median =240 min of age)



Summary of the CURPAP study

- Prophylactic surfactant is **not** superior to early rescue surfactant therapy after CPAP
- Surfactant was halved in the nCPAP group
- Outcome was really good in both arms

**Do not brand a form of
therapy as useless,**

**when in reality it was only
inappropriately applied.**





Reasons for different results in previous CPAP studies

- The difference in devices used and experiences
- The difference in criteria of CPAP failure
- Studies did not show significant reduction of BPD because CPAP therapy was discontinued too early to take advantage of stimulation of lung growth.

Key strategies for the successful use of nasal CPAP therapy

1. Choose the right nasal CPAP device
2. Familiarize caregivers with the device
3. Learn to use nasal CPAP correctly, Gain experience, increase in the comfort level of Staff and Team work
4. Maintain nasal CPAP with meticulous airway care & Pay attention to details
6. Initiate nasal CPAP as early as possible
7. Tolerate PaCO₂ up to 65 mmHg & FiO₂ up to 60%
8. Extended use of nasal CPAP till on room air without respiratory distress to enhance the growth of the premature lung